

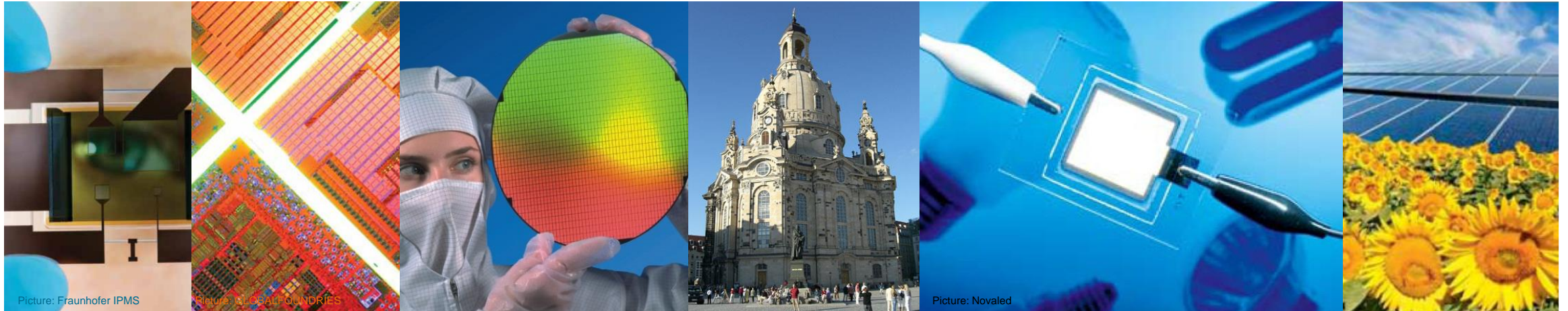
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# 3D METROLOGY AND DIAGNOSTICS AT MULTIPLE LENGTH SCALES – STATUS AND OUTLOOK

Ehrenfried Zschech |

Jürgen Gluch | Kristina Kutukova | Jendrik Silomon | Fraunhofer IKTS Dresden | Germany |

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3DAM Workshop, Grenoble, 15 March 2019

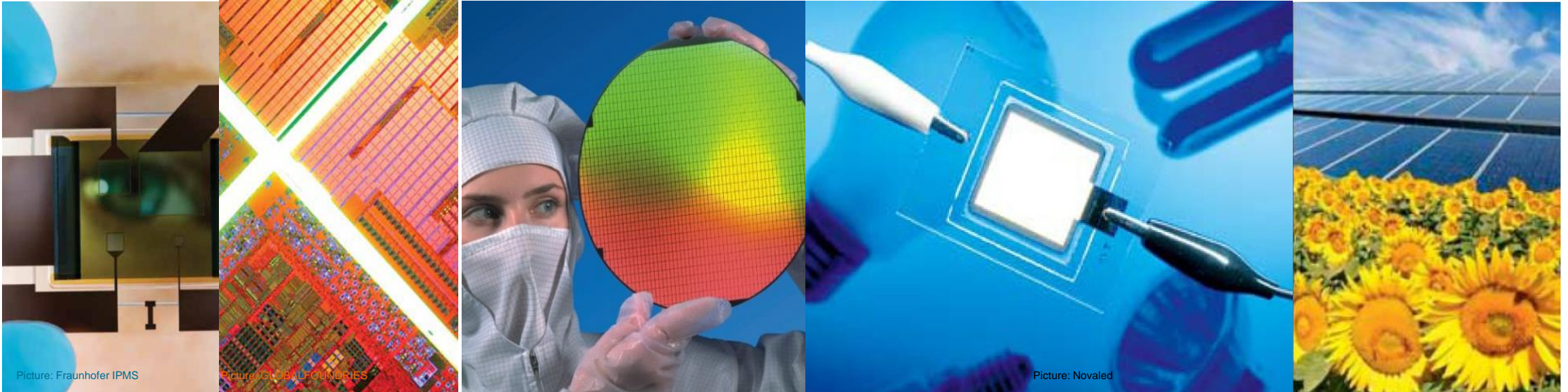
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# DRESDEN – A CULTURAL TOWN

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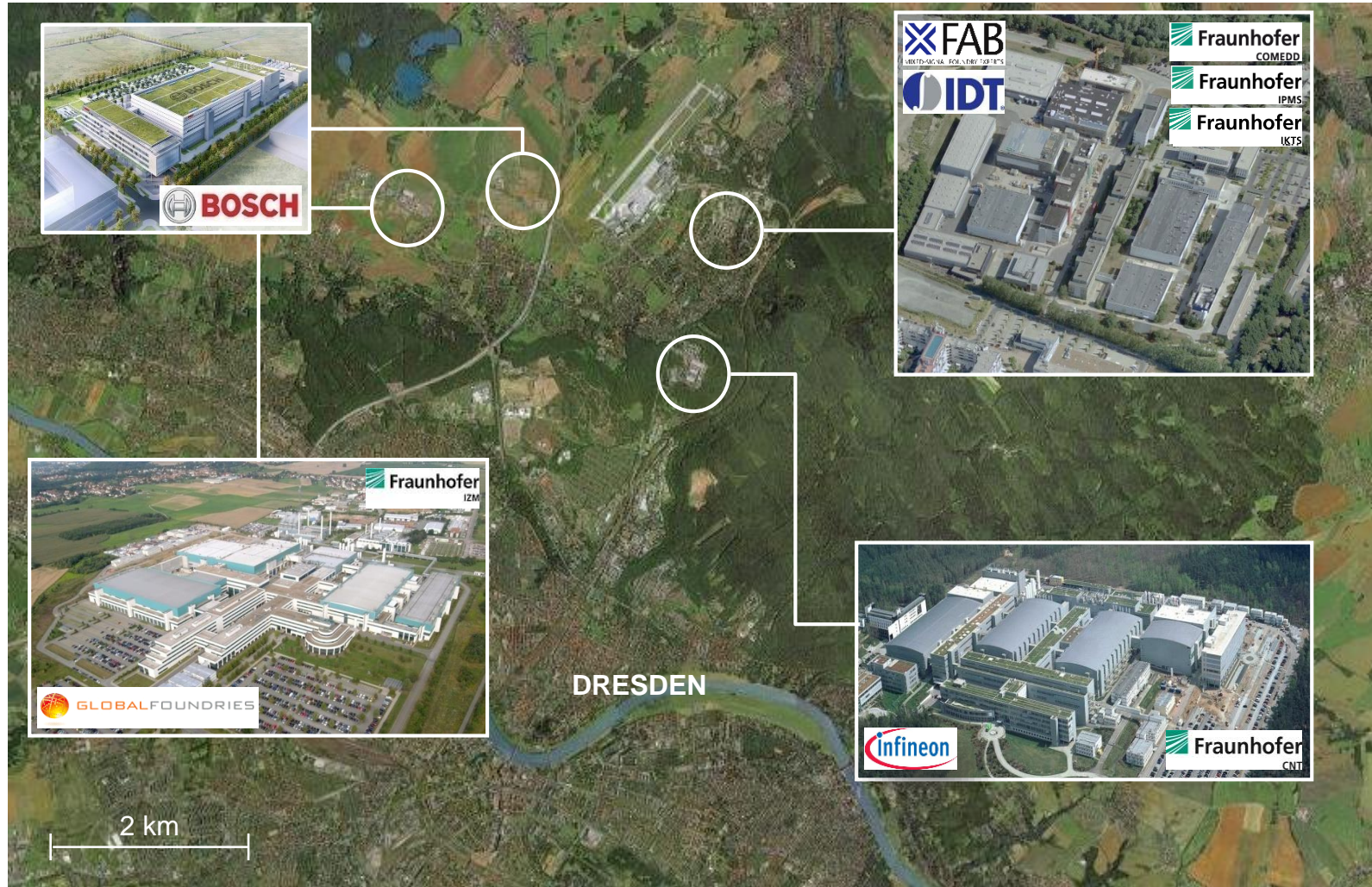
# DRESDEN – A TOWN OF SCIENCE AND INNOVATION



particularly in ICT/Microelectronics and advanced materials

# MICROELECTRONICS/ ICT IN SAXONY

## *Production sites of chip manufacturers in Dresden and selected Fraunhofer Institutes*



Source: Google / Bing / Infineon / GLOBALFOUNDRIES

# Outline

- 1. 3D metrology and diagnostics challenges in microelectronics**
  - Role of X-ray techniques
  
- 2. X-ray imaging of 3D structures: From micro to nano**
  - Example 1 - Advanced packaging: Failures in TSVs and micro-bumps
  - Example 2 – BEoL stack: Crack propagation
  
- 3. Outlook:**
  - X-ray microscopy: Fast, high resolution, really nondestructive

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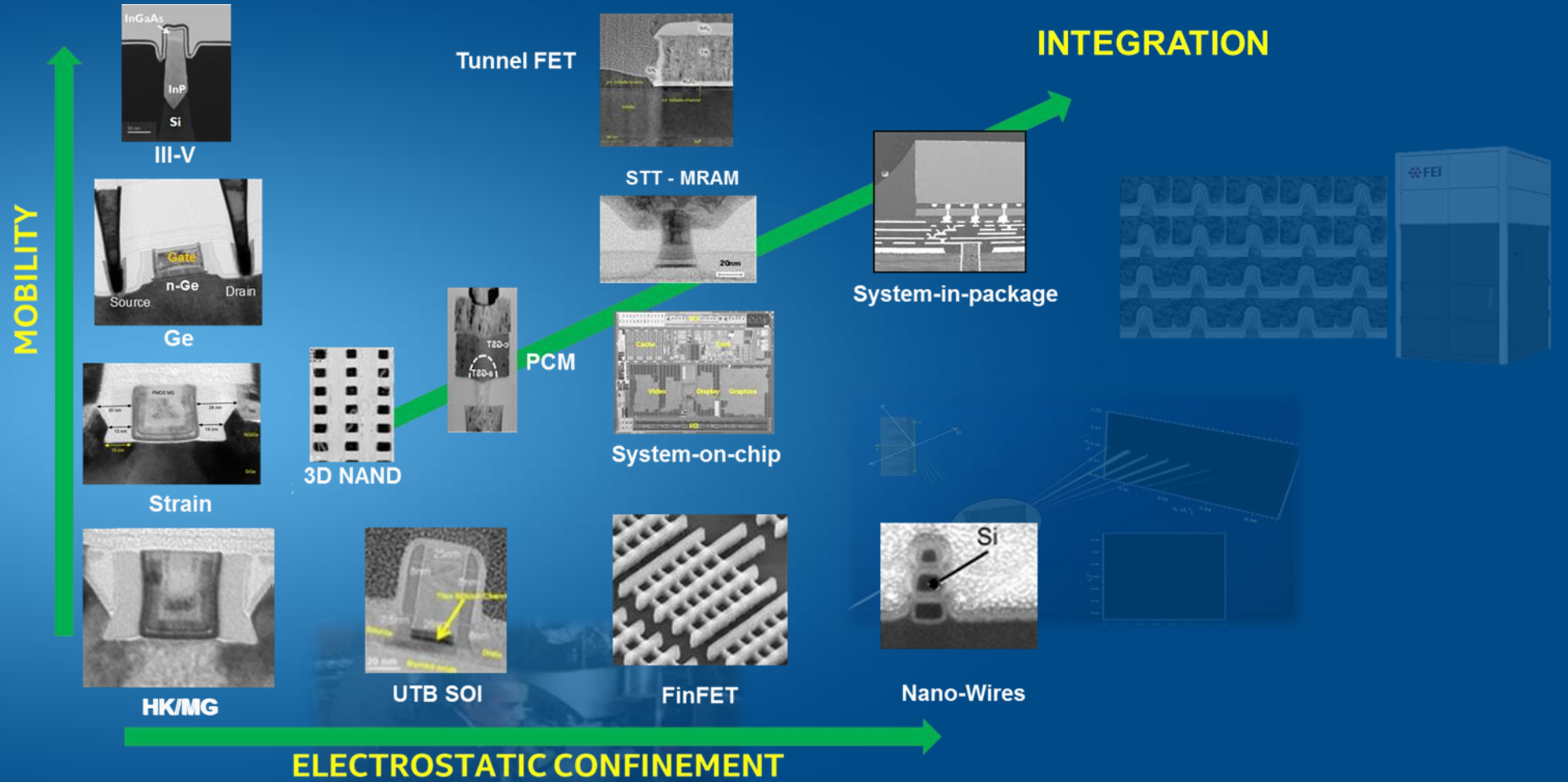
- X-ray microscopy: Fast, high resolution, really nondestructive

# 2D vs. 3D metrology and diagnostics in microelectronics

- **Product trends**
  - New device architectures: 2D → 3D (FinFET, trigate, FDSOI, NVM, ...)
  - 3D chip integration / advanced packaging
- **3D architectures require 3D metrology and diagnostics** → “metrology gap”
  - Fast metrology and diagnostics (time-to-data)
  - Nondestructive vs. destructive

**for device (transistor), BEoL, advanced packaging !**
- **Industrial needs / challenges:**
  - Challenges from new materials / smaller dimensions on wafer (new gate stacks, new NVM, BEoL stacks, ...)
  - Challenges from advanced packaging (e.g. micro-bumps, RDLs)

# Future Products will be Increasingly More Complex



You cannot control what you cannot measure → metrology is critical!

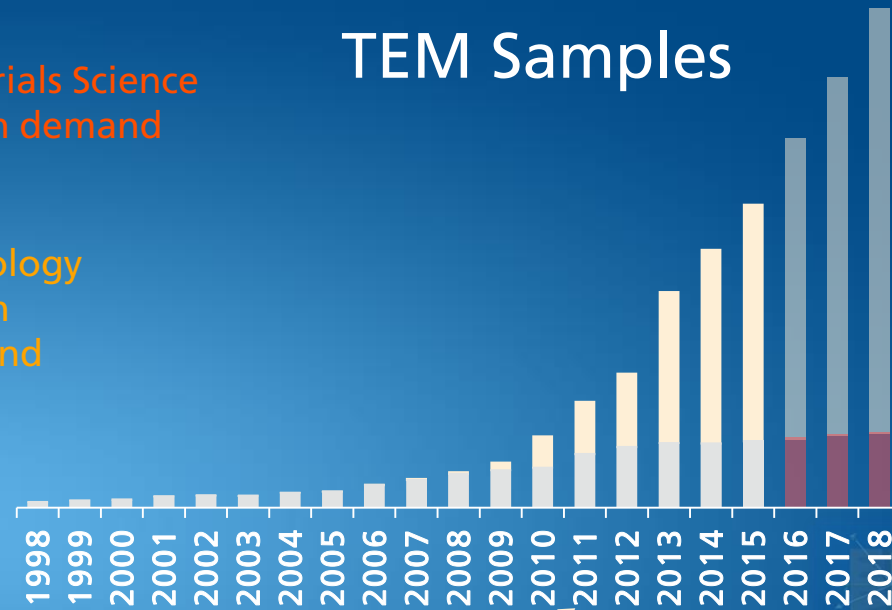


# Dimensional Metrology Lab Demand (driven by 3D transistor)

Materials Science  
driven demand

Metrology  
driven demand

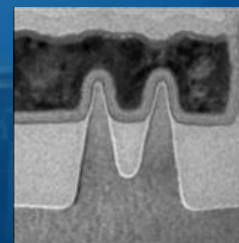
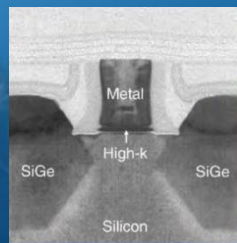
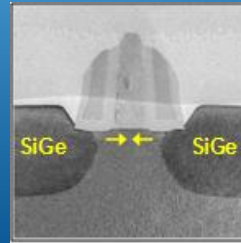
## TEM Samples



Strain

MG/HK

Tri-gate



Automated TEM flow as near-fab metrology solution

# X-ray techniques for metrology and diagnostics

## Integral technique covering large areas / many devices → metrology

- X-ray diffraction XRD and small angle X-ray scattering SAXS (local technique at individual structures: TEM (CBED, ENBD, Holography, ... + brightfield imaging))
- X-ray reflectometry XRR (local technique at individual structures: TEM)
- X-ray spectroscopy XRF, XAS (local technique at individual structures: TEM/EDX+EELS)

## High resolution imaging techniques → diagnostics/failure analysis

- (micro) X-ray computed tomography micro-XCT
- Transmission X-ray microscopy TXM / nano X-ray computed tomography nano-XCT

## Combination of techniques → hybrid metrology and diagnostics

- high-resolution (3D) imaging (nano-XCT) & high-resolution XRF and XRD

## 2D vs. 3D X-ray imaging

**2D X-ray imaging** is a matured and widely used analytical method for diagnostics/failure analysis in microelectronics

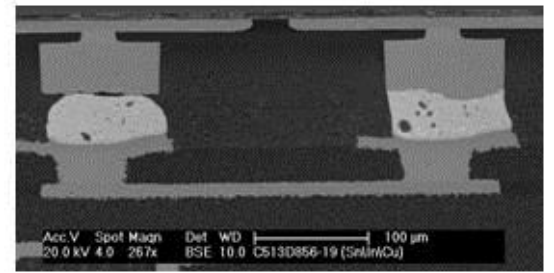
- Demonstrated capability for defect detection
- Real time nature
- Availability of automated defect detection routines

**Technical challenges for 2D X-ray imaging are:**

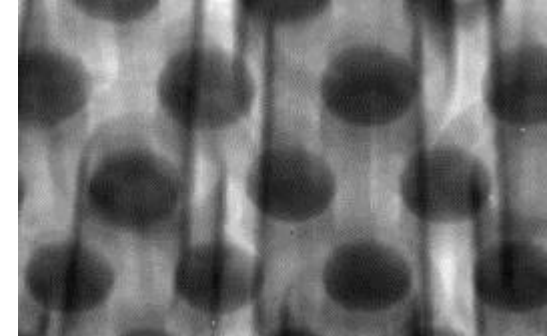
- Development of smaller / more complex packages
- Small defects with a lot of interfering features in the FOV
- Increasingly difficult and sometimes impossible to image certain defects in non-destructive fashion
- Samples often require preparation that may produce artifacts.

**The fundamental limitations of 2D X-ray imaging technology are a common roadblock driving X-ray computed tomography (XCT) for failure analysis in semiconductor industry.**

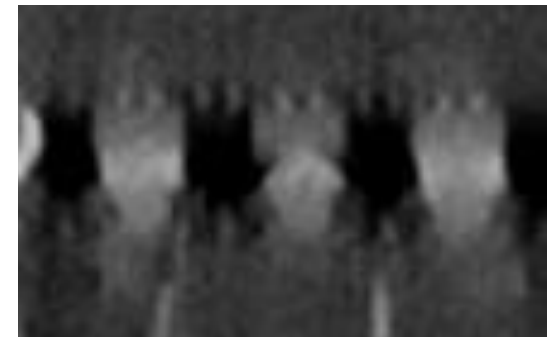
- conventional packaging: micro-XCT,
- BEoL + advanced packaging: nano-XCT



Physical X-section C4 bump



Best 2D radiography → defect not visible!



Virtual X-section based on 3D XCT

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- Example 2 – BEoL stack: Crack propagation

## 3. Outlook:

- X-ray microscopy: Fast, high resolution, really nondestructive

# Reliability of advanced and 3D integrated ICs – *It's all about stress*

- **Phenomena in advanced package structures**
  - **(Thermo)mechanical failures - Delamination / micro-cracks in interconnect systems**
    - Micro-cracks in thinned silicon
    - ***Failures in microbumps (micro-cracks)***
    - Delamination and cracks in 3D (TSV) / RDL structures
- **Enforcement of (known) phenomena in BEoL structures**
  - **(Thermo)mechanical failures – Cracks in interconnect systems**
    - ***Failures in BEoL structures (micro-cracks)***
  - **Stress-enforced lifetime reduction / electrical effects**
    - Electromigration
    - Stress-induced voiding
    - Time-dependent dielectric breakdown

# Reliability of advanced and 3D integrated ICs – *It's all about stress*

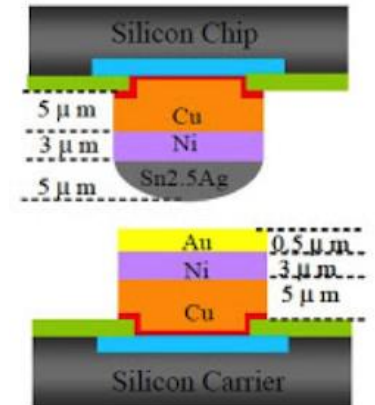
- Phenomena in advanced package structures

- (Thermo)mechanical failures - Delamination / micro-cracks in interconnect systems

- Micro-cracks in thinned silicon

- **Failures in microbumps (micro-cracks)**

- 3D imaging of geometry of and defects in micro bumps



- Enforcement of (known) phenomena in BEoL structures

- (Thermo)mechanical failures – Cracks in interconnect systems

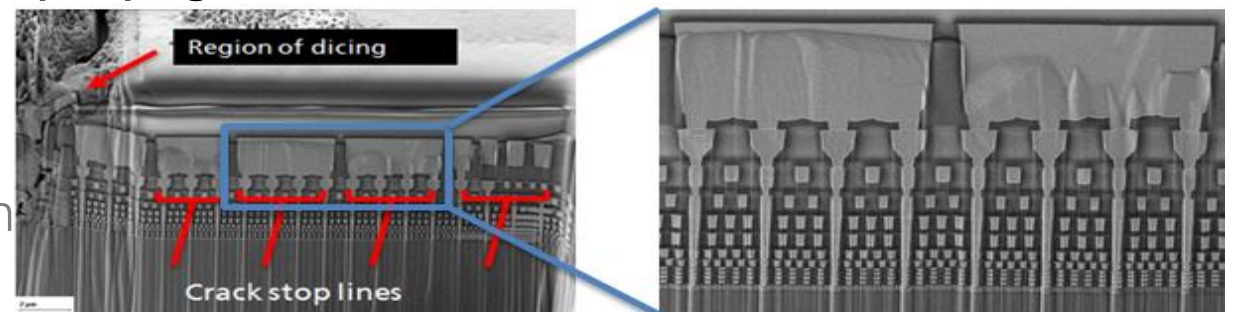
- **Failures in BEoL structures (micro-cracks)**

- 3D imaging of stress-induced crack propagation in BEoL stacks (CPI)

- Electromigration

- Stress-induced voiding

- Time-dependent dielectric breakdown



# Effects of stress on reliability in Si-based electronics

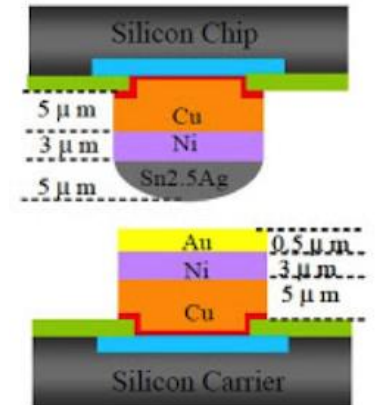
## ■ Phenomena in advanced package structures

### ■ (Thermo)mechanical failures - Delamination / micro-cracks in interconnect systems

■ Micro-cracks in thinned silicon

### ■ **Failures in microbumps (micro-cracks)**

3D imaging of geometry of and defects in micro bumps



## ■ Enforcement of (known) phenomena in BEoL structures

### ■ (Thermo)mechanical failures – Cracks in interconnect systems

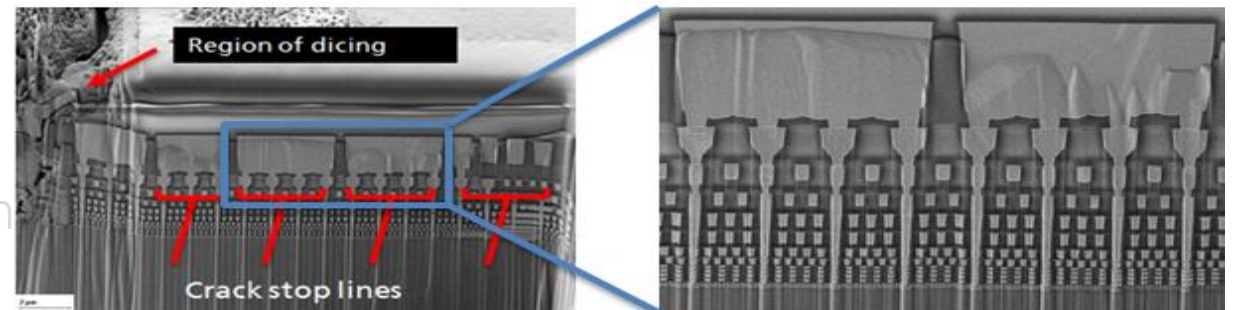
### ■ **Failures in BEoL structures (micro-cracks)**

3D imaging of stress-induced crack propagation in BEoL stacks (CPI)

■ Electromigration

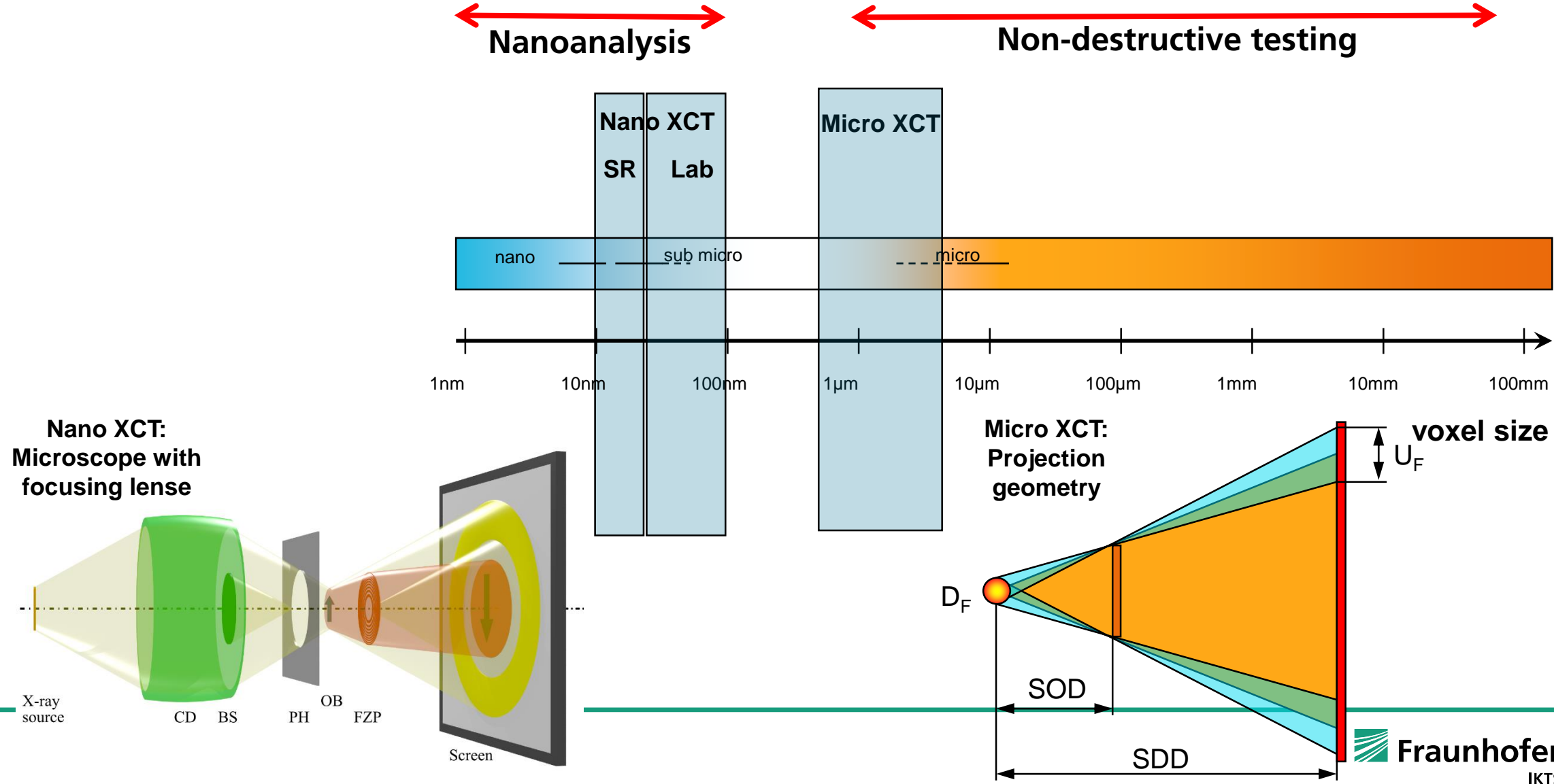
■ Stress-induced voiding

■ Time-dependent dielectric breakdown



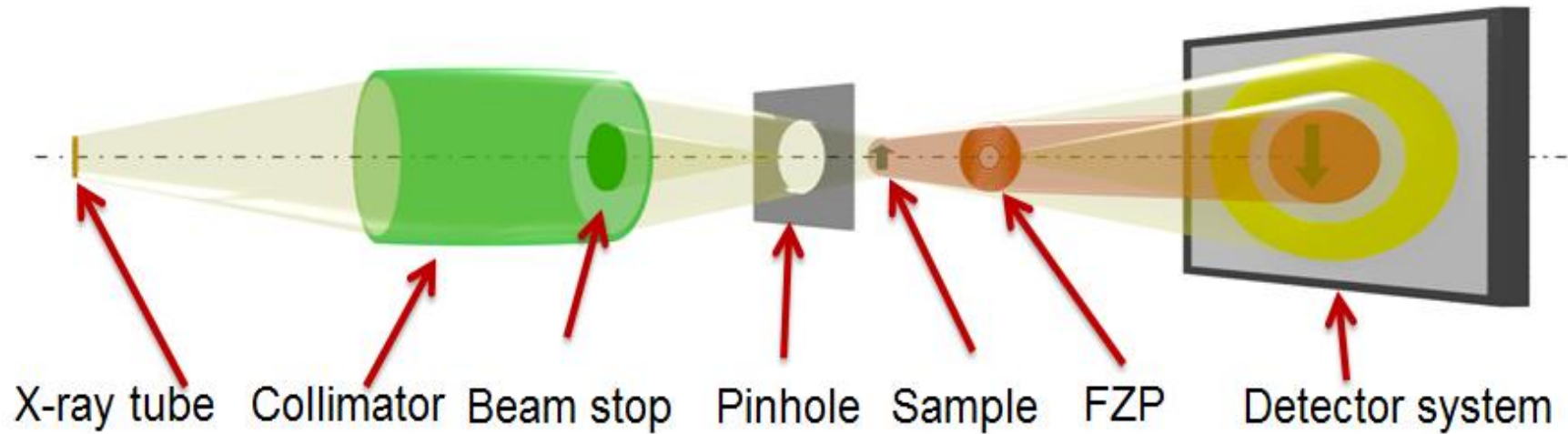
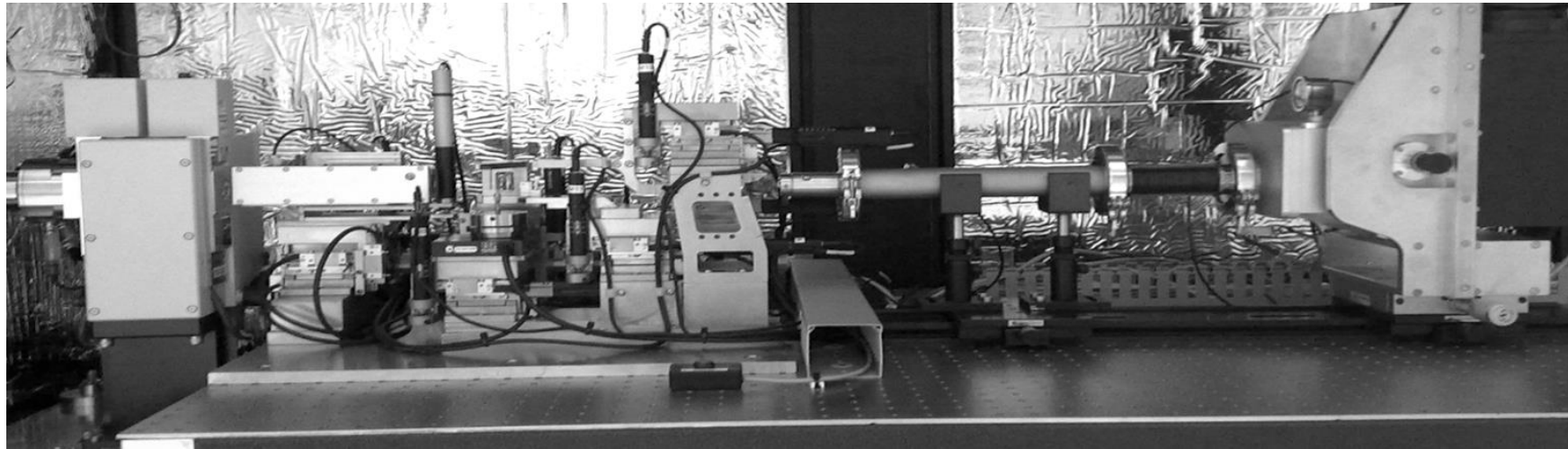
**Demonstration of new developments in X-ray tomography for future industrial application: Metrology and diagnostics/failure analysis in semiconductor industry**

# 3D characterization of micro-cracks in advanced materials and microchips using laboratory X-ray computed tomography (XCT)

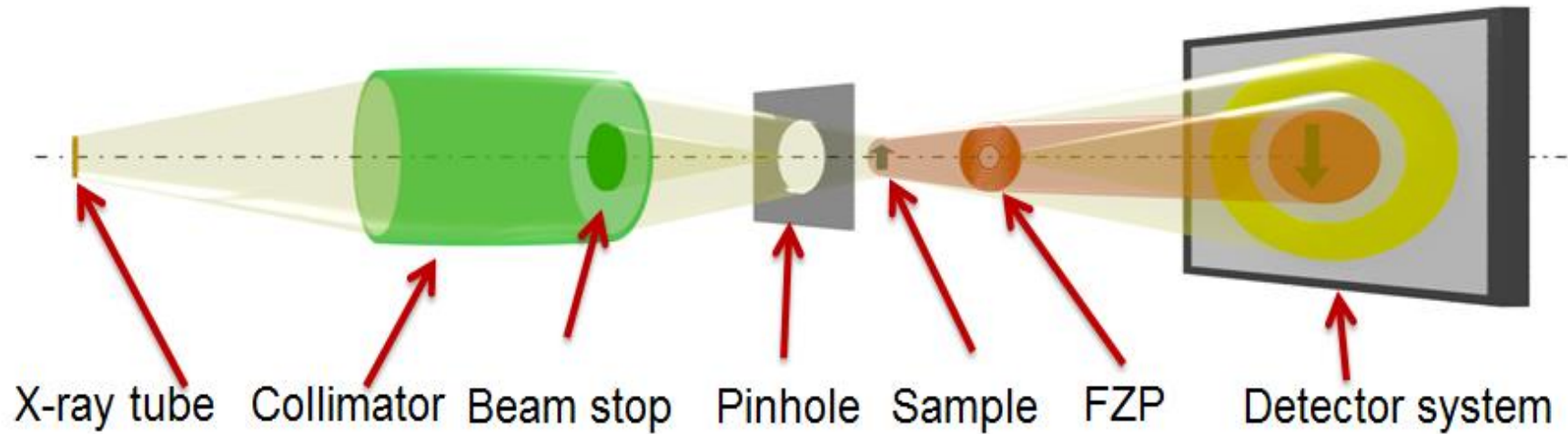
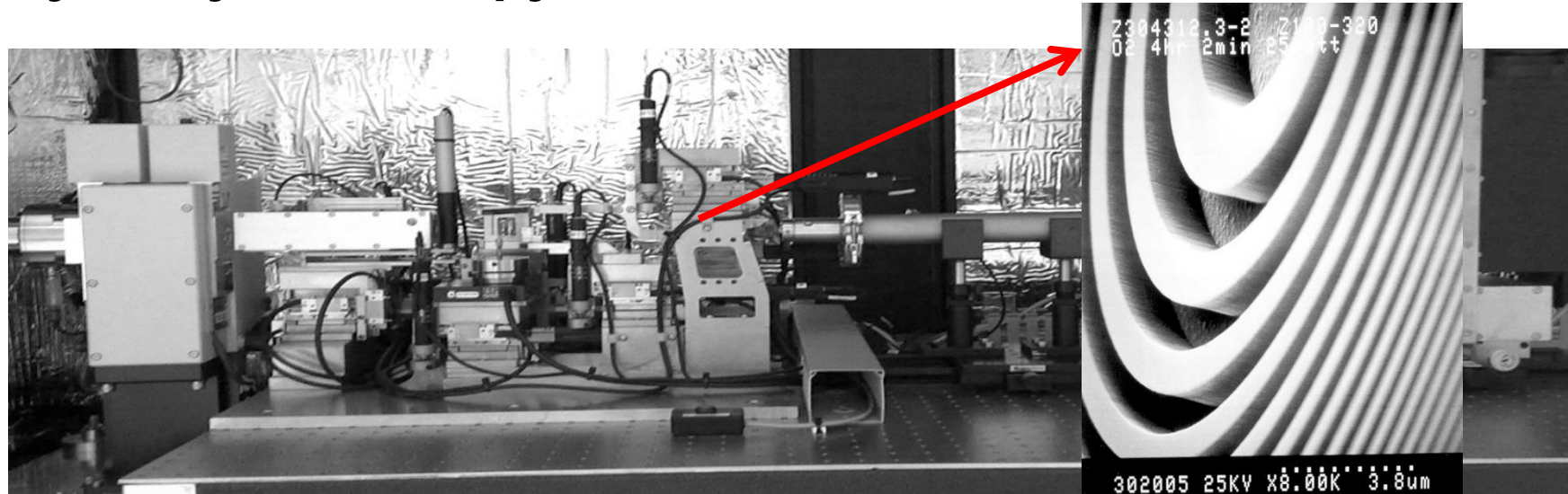




# Laboratory X-ray microscopy and nano XCT



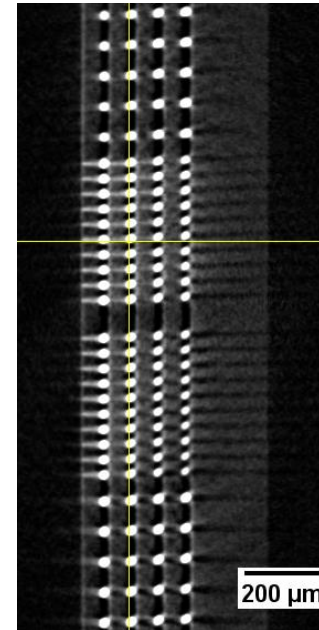
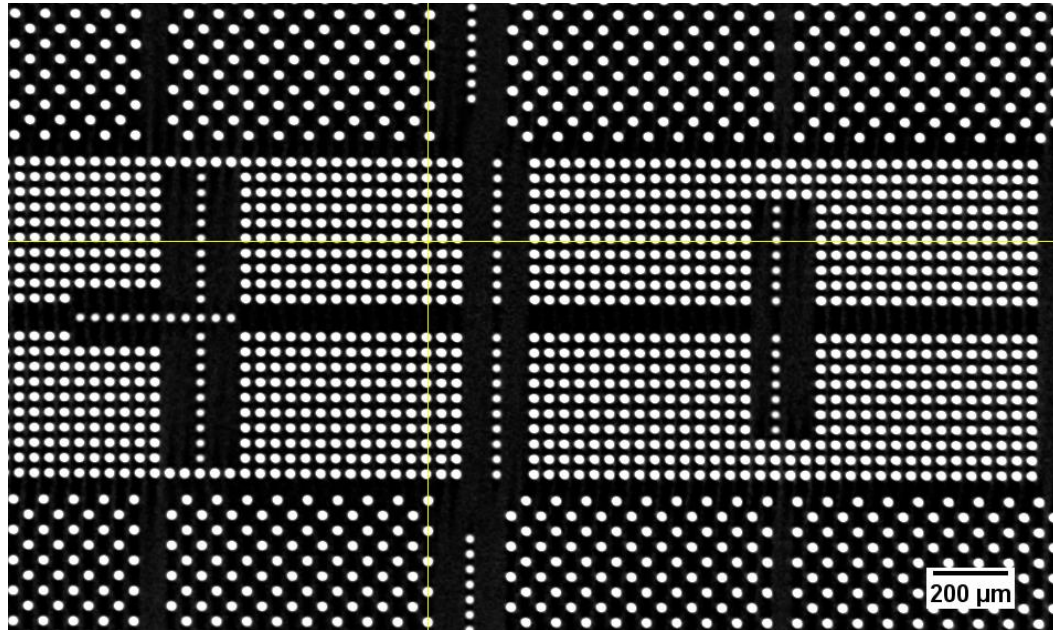
# Laboratory X-ray microscopy and nano XCT



# Outline

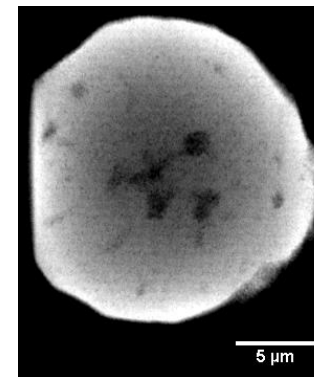
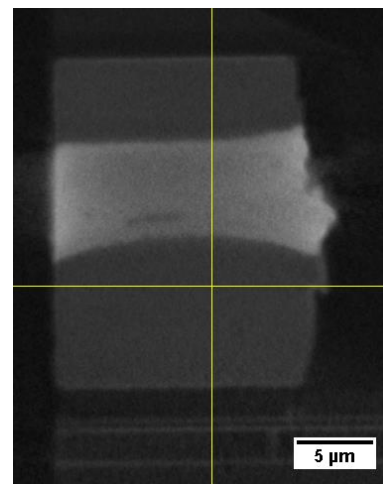
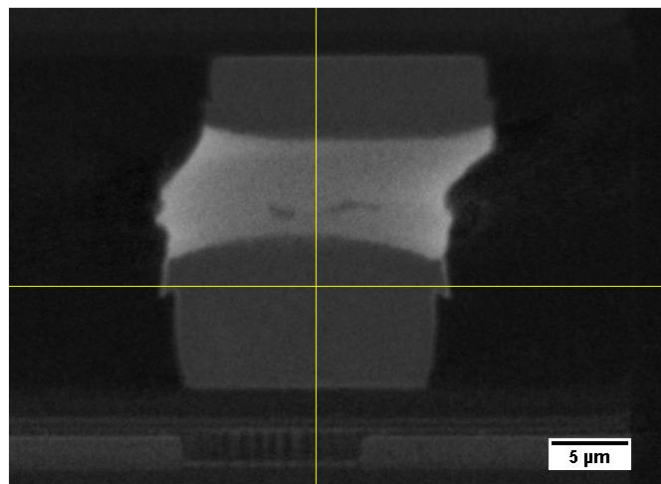
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# Example: Micro-bumps in 3D IC stacks – HBM



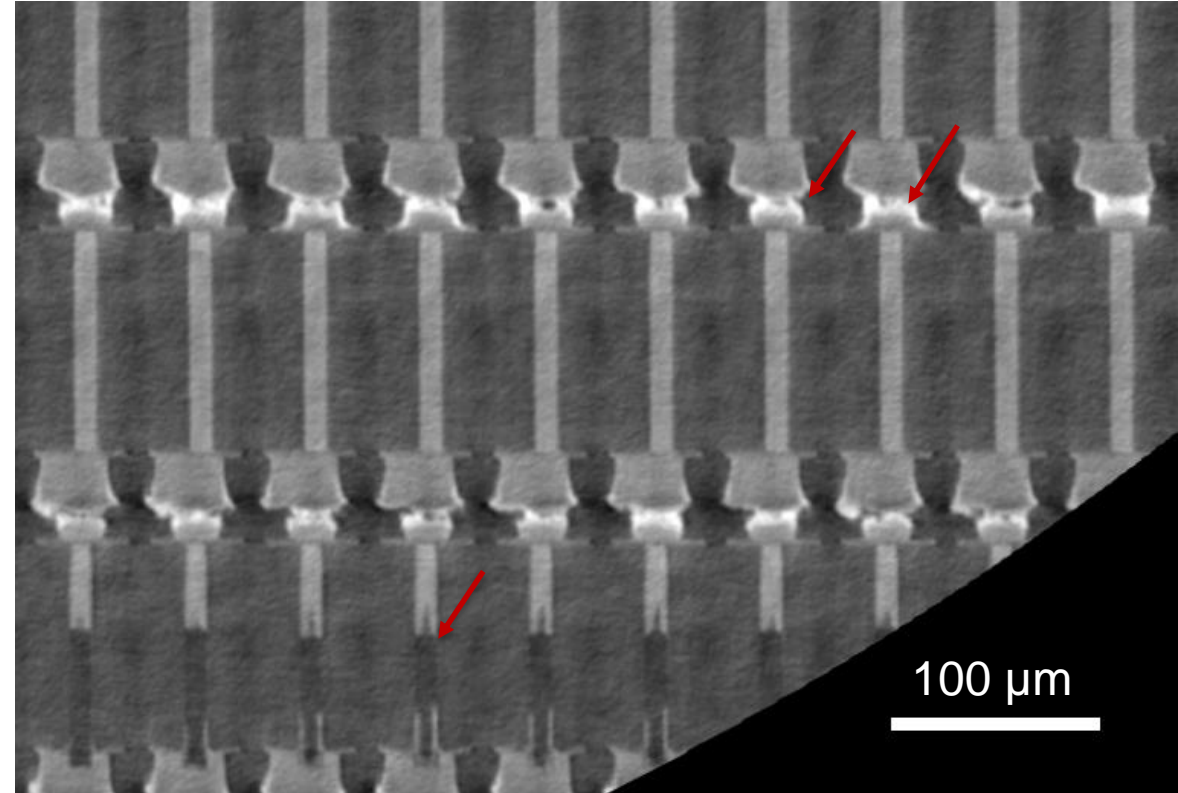
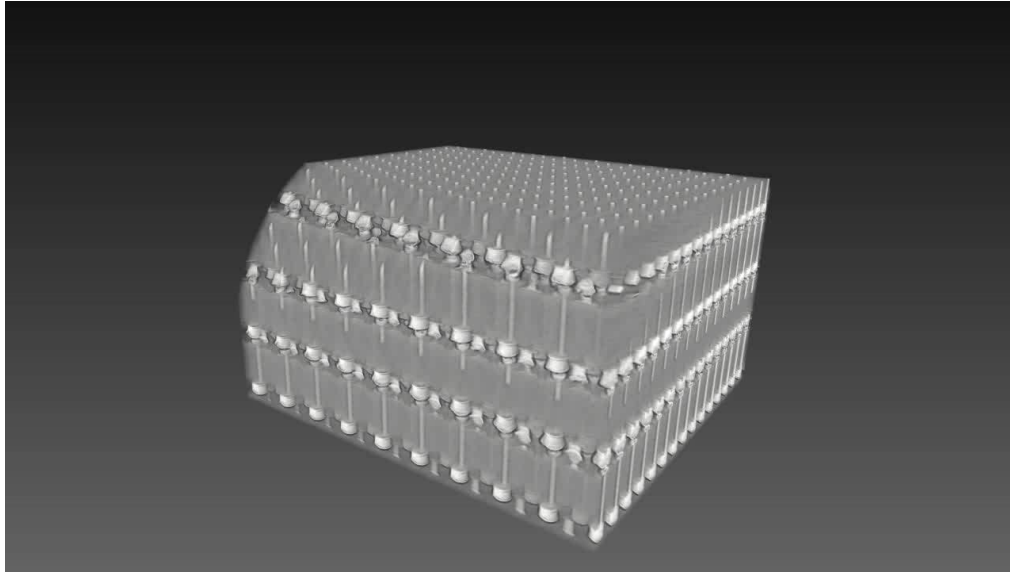
High bandwidth memory (HBM) stack:

Two virtual 2D images (planar view and cross-section view), based on a 3D data set from *micro XCT*.  
Nondestructive imaging.



Three virtual 2D images (two perpendicular cross-section views and one planar view), based on a 3D data set from *nano XCT*. Imaging of a small extracted sample.

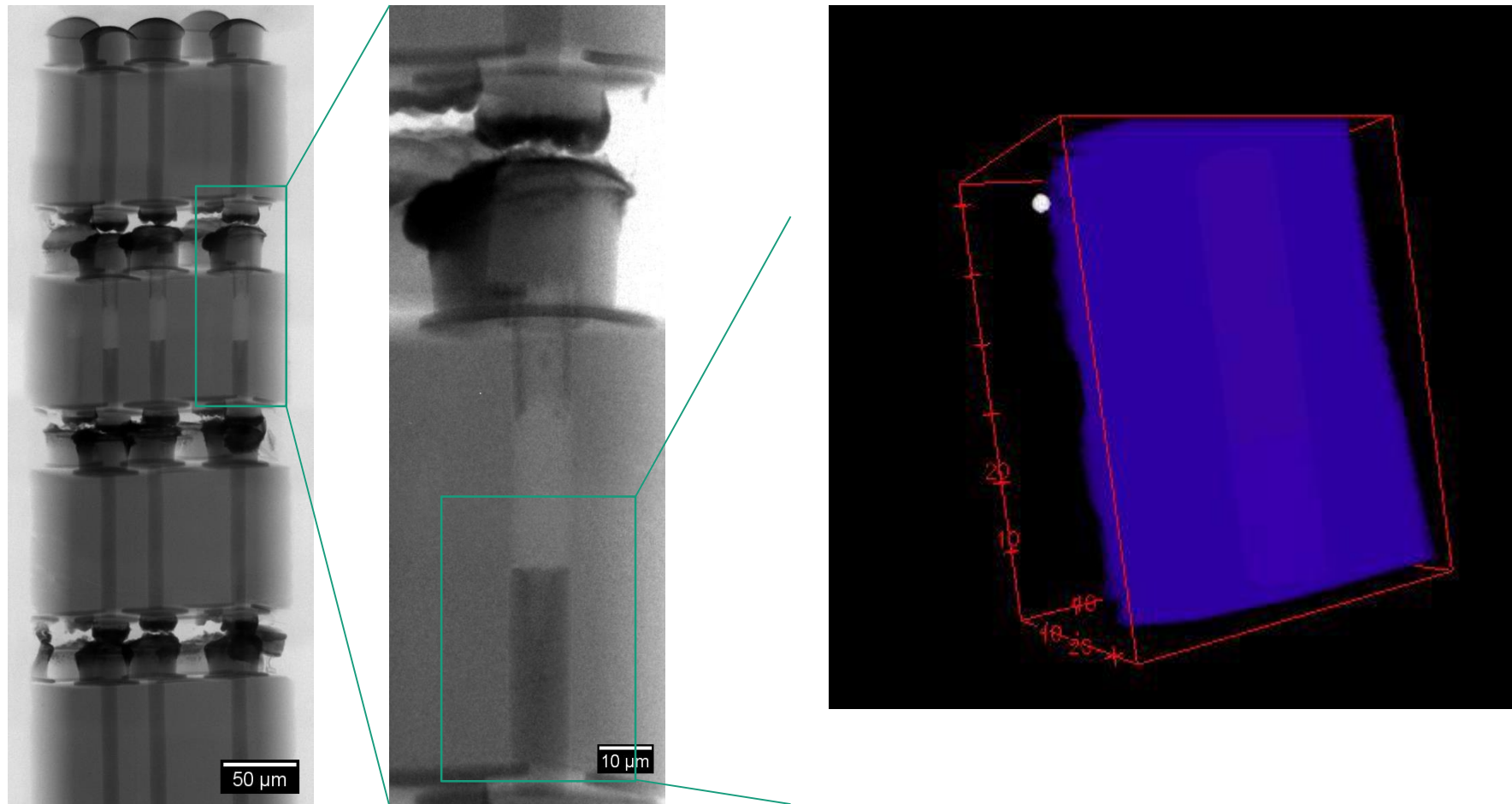
# Multi-chip 3D TSV stack with micro bumps – *Micro XCT*



## Incomplete Cu TSV filling, variation in solder flow (AgSn)

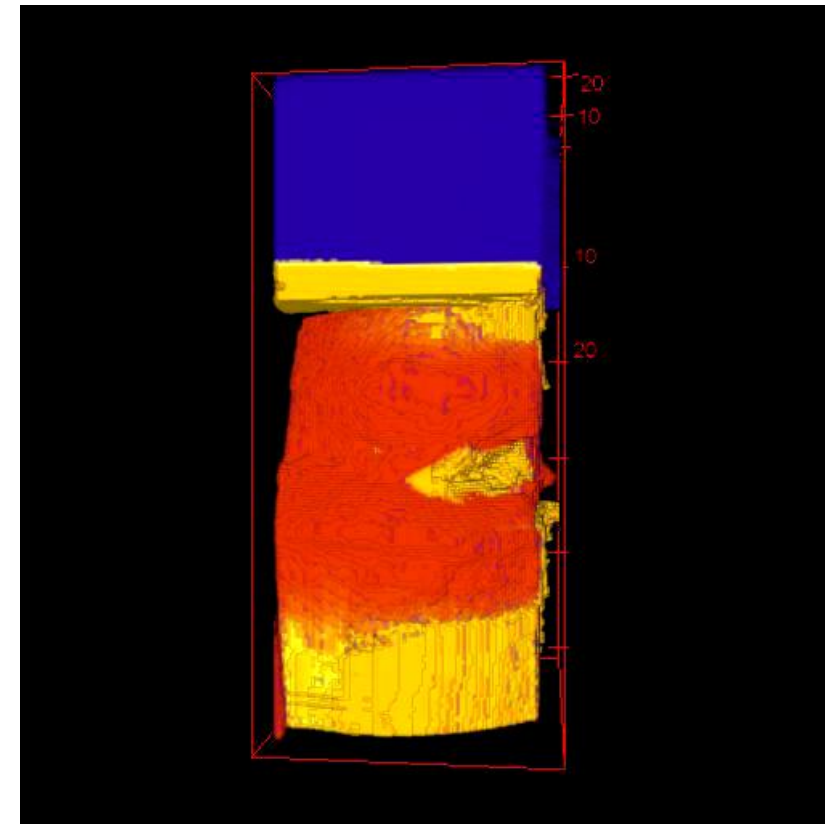
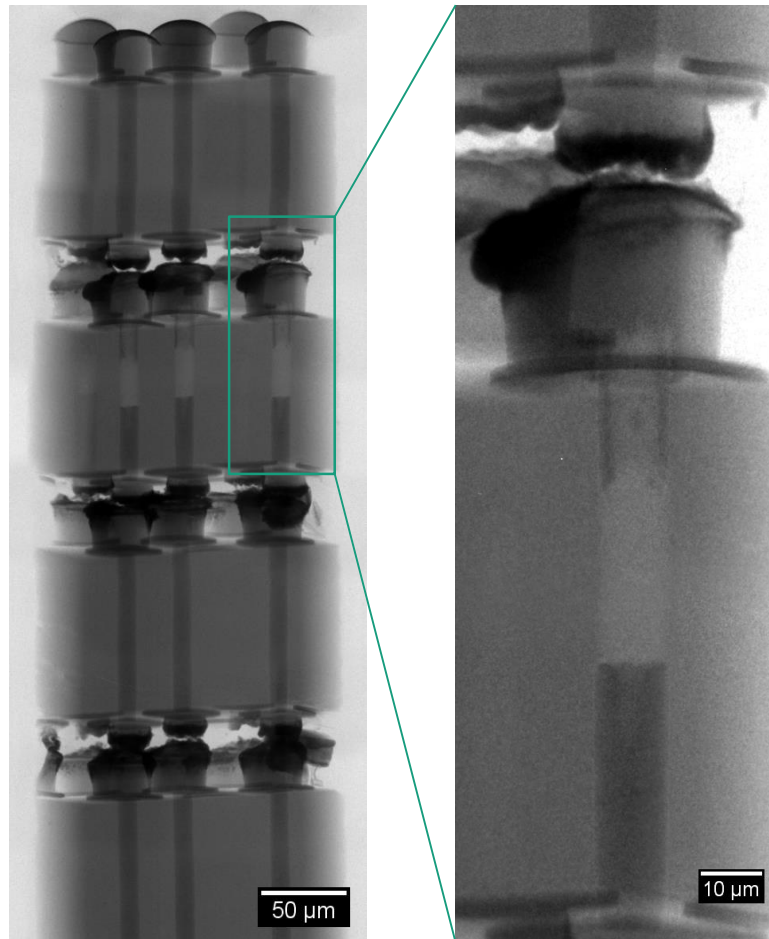
E. Zschech et al., PanPac 2015

# Multi-chip 3D TSV stack: TSV – *Nano XCT*



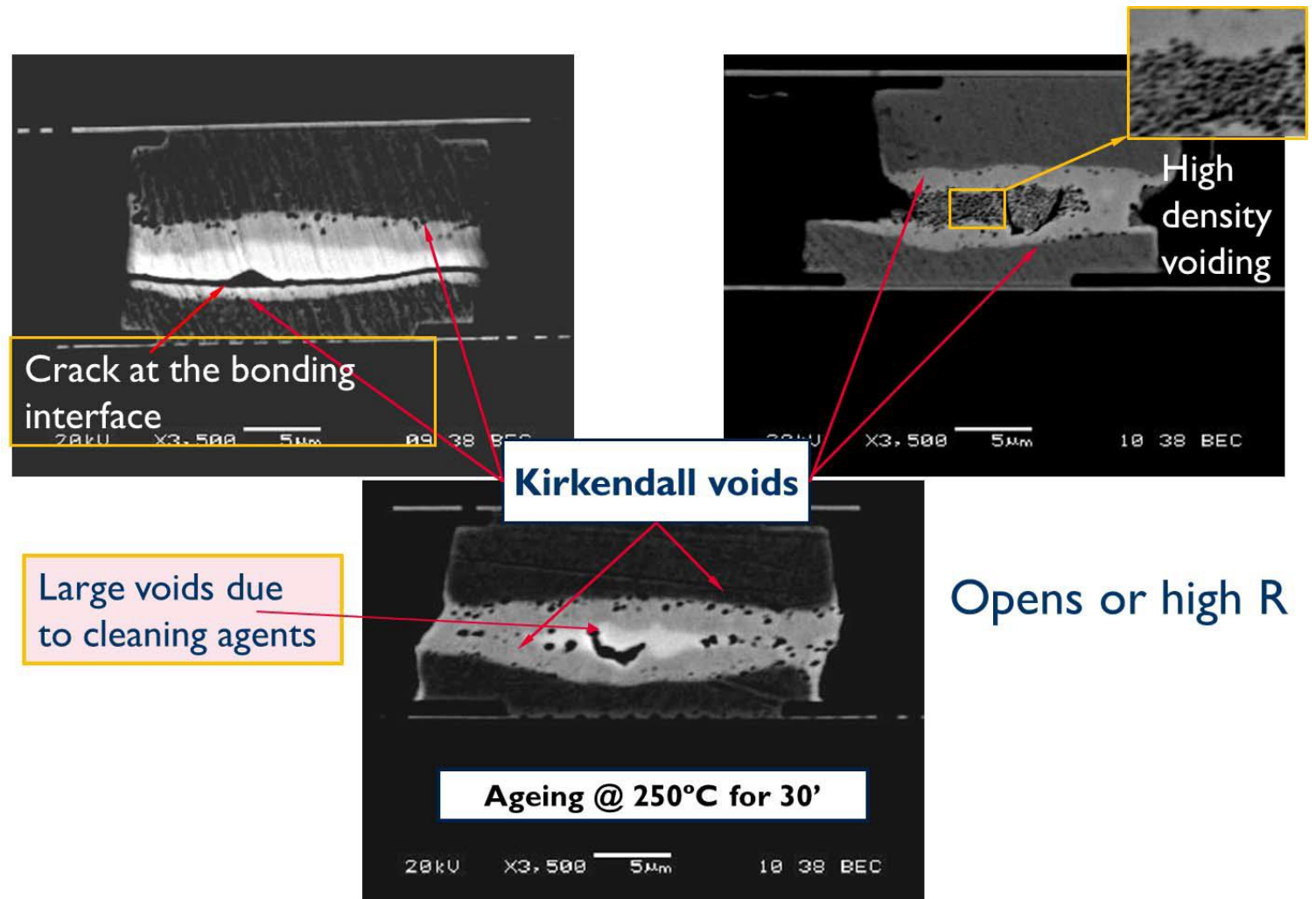
Tomography of a partially filled Cu TSV

# Multi-chip 3D TSV stack: micro bumps – *Nano XCT*



Tomography of a AgSn microbump

# Typical failures in microbumps

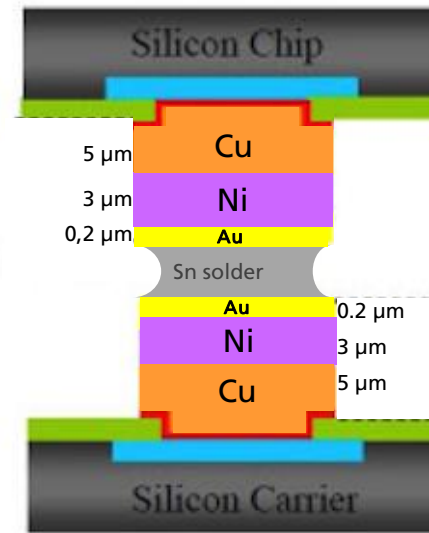
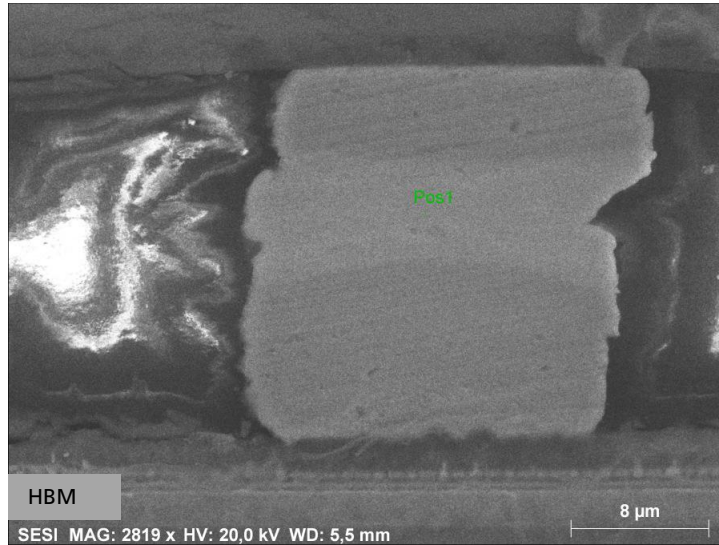


... but that's not all !!!

**Geometry AND materials (phases, microstructure) AND defects are playing a role !!!**



# XCT parameter determination and monitoring - Microbumps



Exemplary presentation,  
thicknesses are representing  
a possibility only

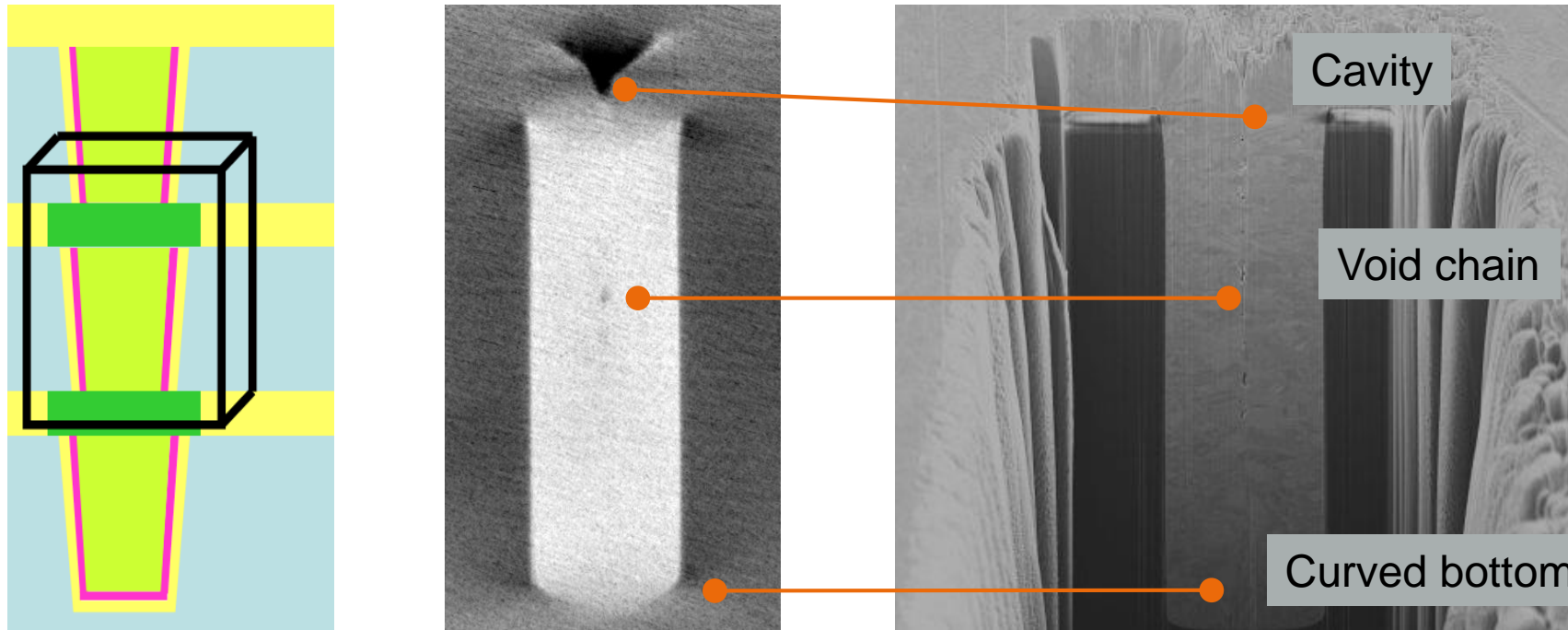
} ENIG  
(Electroless Nickel  
Immersion Gold)

- Geometry: Shape of the solder interconnect
- Metallurgy: Chemical composition, location of intermetallic phases
- Defects: Pores, micro-cracks (also in relation to intermetallic phases)

# SEM image of FIB X-section of Copper TSV after nano-XCT Study

Nondestructive failure localization: Voids ~ 100 nm size can be localized

Destructive physical failure analysis: Validation of nano-XCT results



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# Advanced Packaging & Novel Material Combinations

## → Chip Package Interaction (CPI)

Mechanical properties of (ultra-)low-k materials in the BEoL stack are critical

(POR: CVD porous organosilicate glass (OSG) thin films)

→ adhesive and cohesive failure

Young's modulus and fracture toughness are needed

Tasks of reliability engineering:

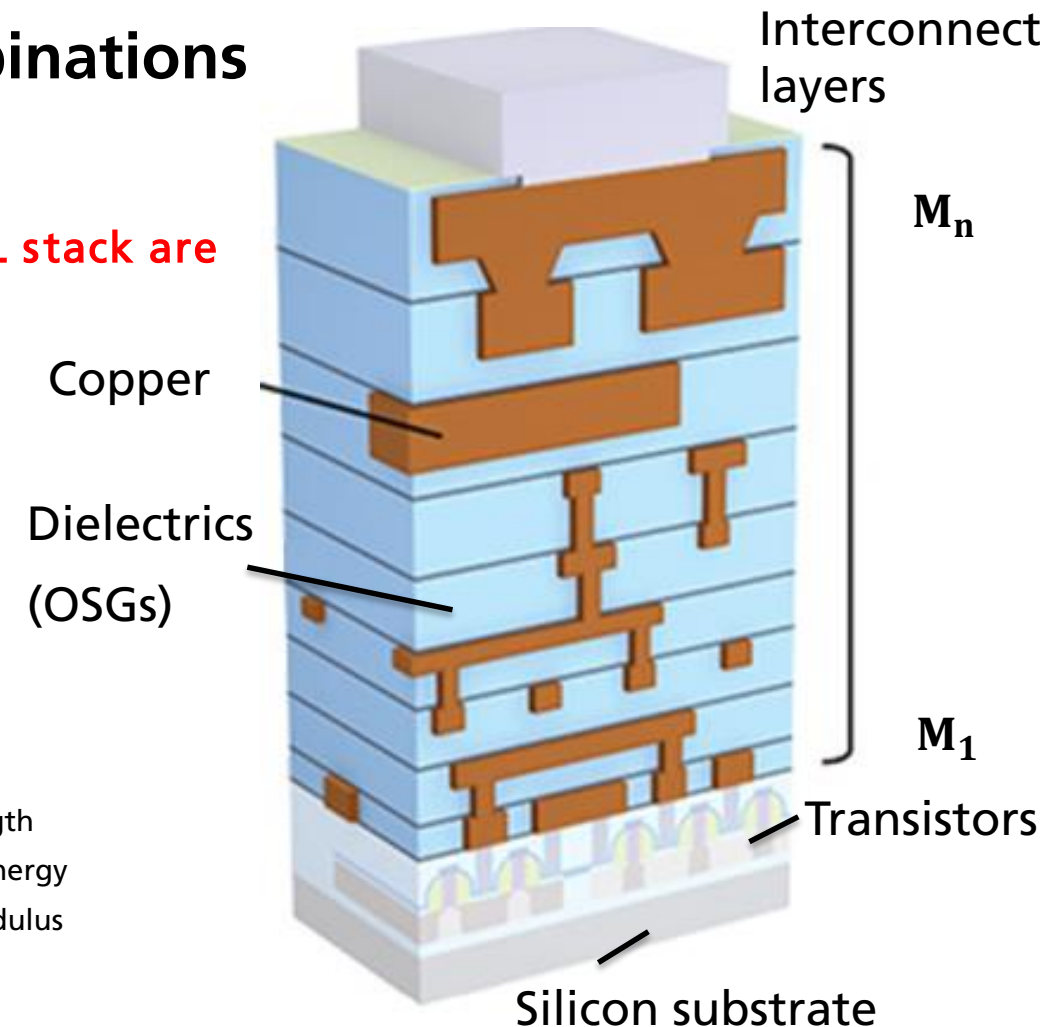
→ Understand degradation mechanisms

Criterion for crack propagation:  $\frac{\pi\sigma^2 a}{E} \geq 2\gamma$  → Low E leads to enhanced crack propagation.

$\sigma$  - stress  
 $a$  - crack length  
 $\gamma$  - surface energy  
 $E$  - elastic modulus

Chip-package interaction (CPI)

is one of the major reliability concerns in leading edge chips with low-k and/or ultra-low-k (ULK) insulating material in the on-chip interconnect stack, because of the low fracture toughness → increased risk of crack propagation



# Mechanical test set-ups for in-situ study of degradation kinetics of materials and 3D systems in the X-ray microscope

- Objective

- Ensure required in-service mechanical performance and reliability of advanced products and systems.

- Challenges

- Fracture mechanics explains mechanical properties.
- Metallographic preparation destroys the samples and is not representative for a product.
- **Understanding of degradation kinetics and failure in materials and microelectronic products requires nondestructive in-situ visualization of crack propagation.**

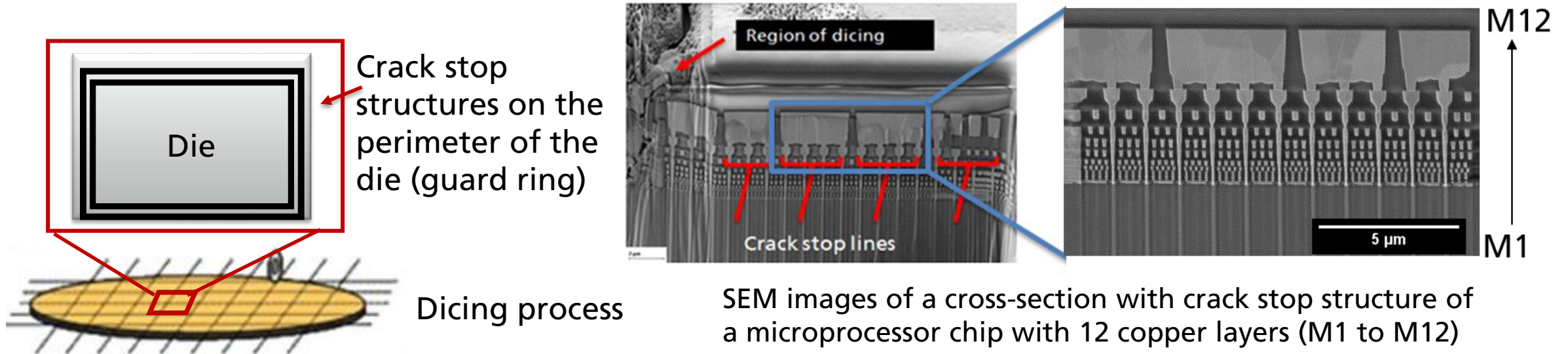
- Solution

- In-situ study of the fracture behavior of multi-component 3D systems: High-resolution imaging of crack opening and propagation in 3D structures – generation of 4D data sets.

➔ **Integration of (thermo)mechanical test set-ups into an X-ray microscope / nano-XCT tool.**

# Microchip mechanical properties investigation: Combination of X-ray microscopy and in-situ micro-mechanical testing

- A crack stop structure is implemented to prevent chip damage originating from micro crack formation and propagation.

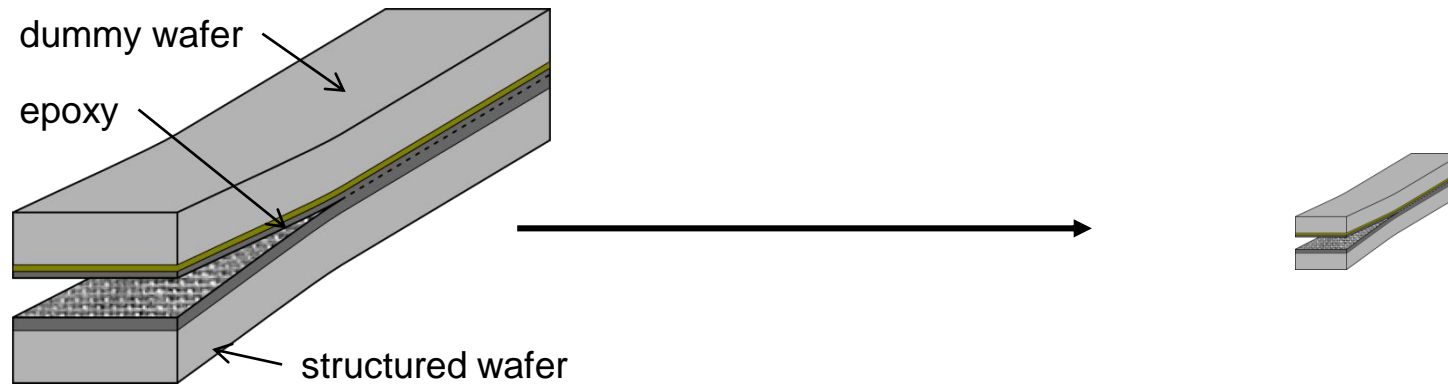


## Microchip reliability improvement approach:

- Direct observation of crack propagation and particularly the guard ring efficiency – *in-situ high resolution imaging by X-ray microscopy*
- Material properties evaluation - *micro-mechanical study*

Micro-DCB  
test

# Double Cantilever Beam (DCB) test: Macro vs. Micro



## ■ Macro DCB test

- Standard test in fracture mechanics, e.g. for determination of adhesion properties:

stable crack growth due to fast release of stored elastic energy during crack propagation (ERR), mode I mode

- sample dimension:  $1.7 \times 5 \times 50 \text{ mm}^3$

## Preparation

- Epoxy bonding with dummy wafer
- Two side lapping and precision sawing
- Notching, if needed

## Micro DCB test

- 2D and 3D visualization of crack propagation through patterned systems (Cu/ULK BEoL stack) at several load steps
- sample dimension:  $\sim 50 \times 50 \times 1000 \text{ }\mu\text{m}^3$

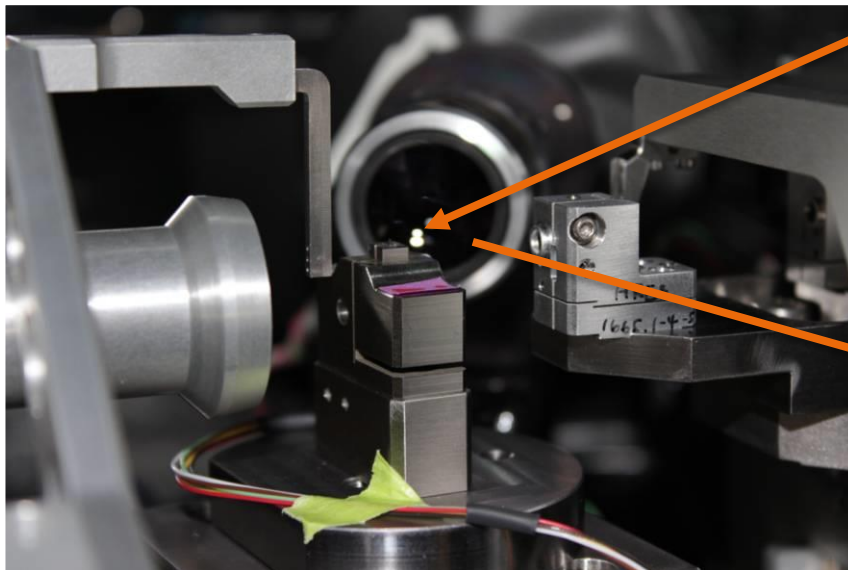
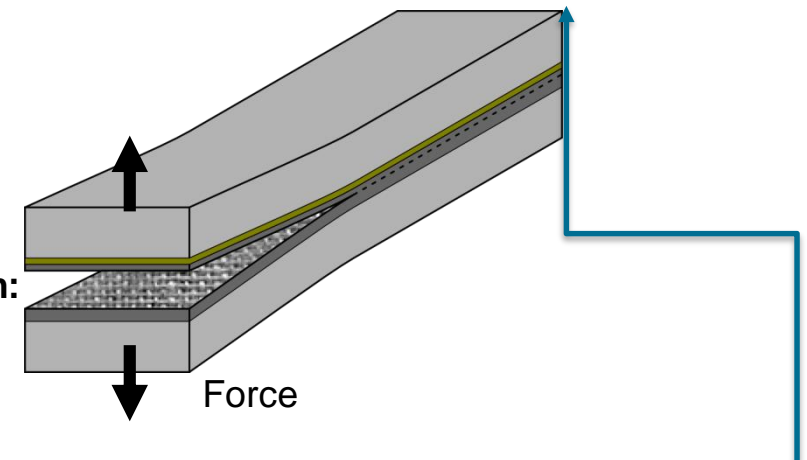
## Preparation

- Razer blade or FIB notching
- In addition: epoxy UV curing, bonding to MicroDCB fixture, ROI alignment to X-ray beam

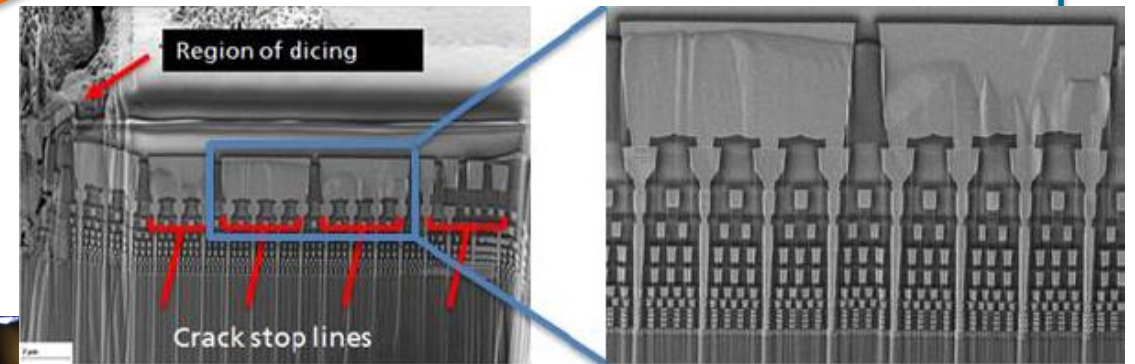
# In-situ mechanical testing of multilayer systems in the X-ray microscope

- Micro Double Cantilever Beam test (MicroDCB)
- Scope: Crack initiation and propagation, particularly in guard ring structure
- X-ray microscopy / nano-XCT study under load

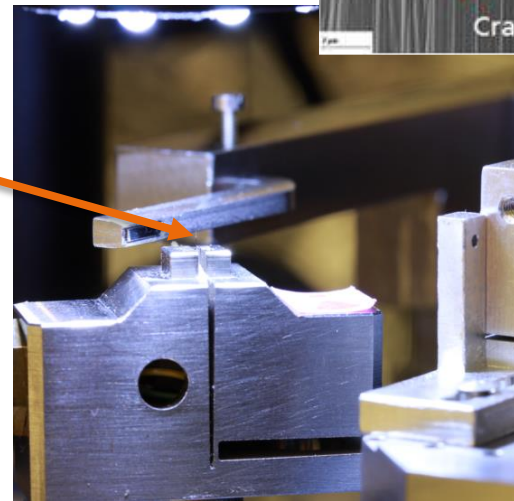
Typical specimen, "sandwich", dimension:  $50 \times 50 \times 1000 \mu\text{m}^3$



MicroDCB tester inside the nano-XCT

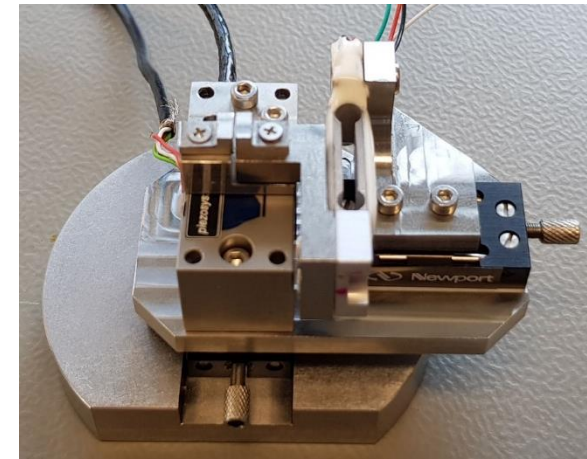
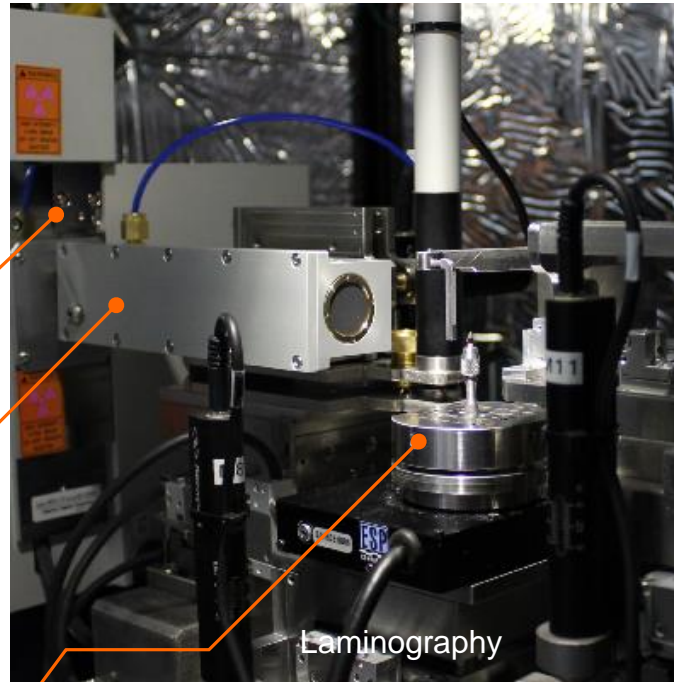
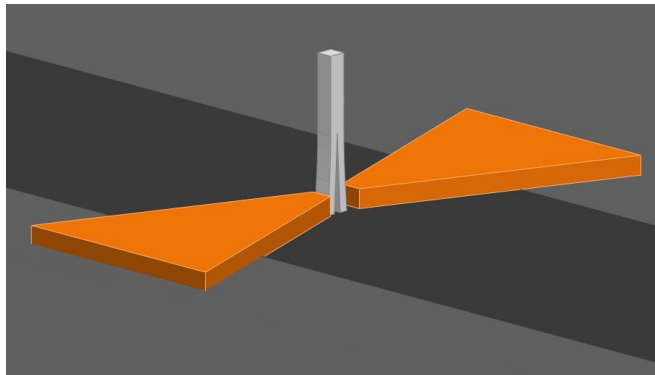


SEM image of the guard ring structure, representing 4 crack stop lines and 12 copper metallization layers

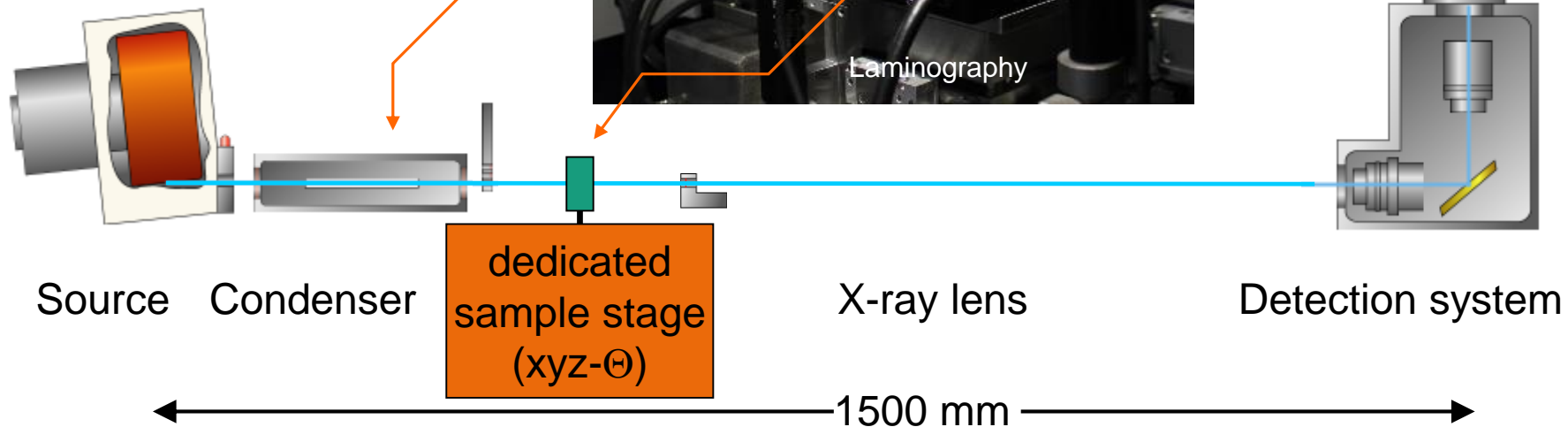




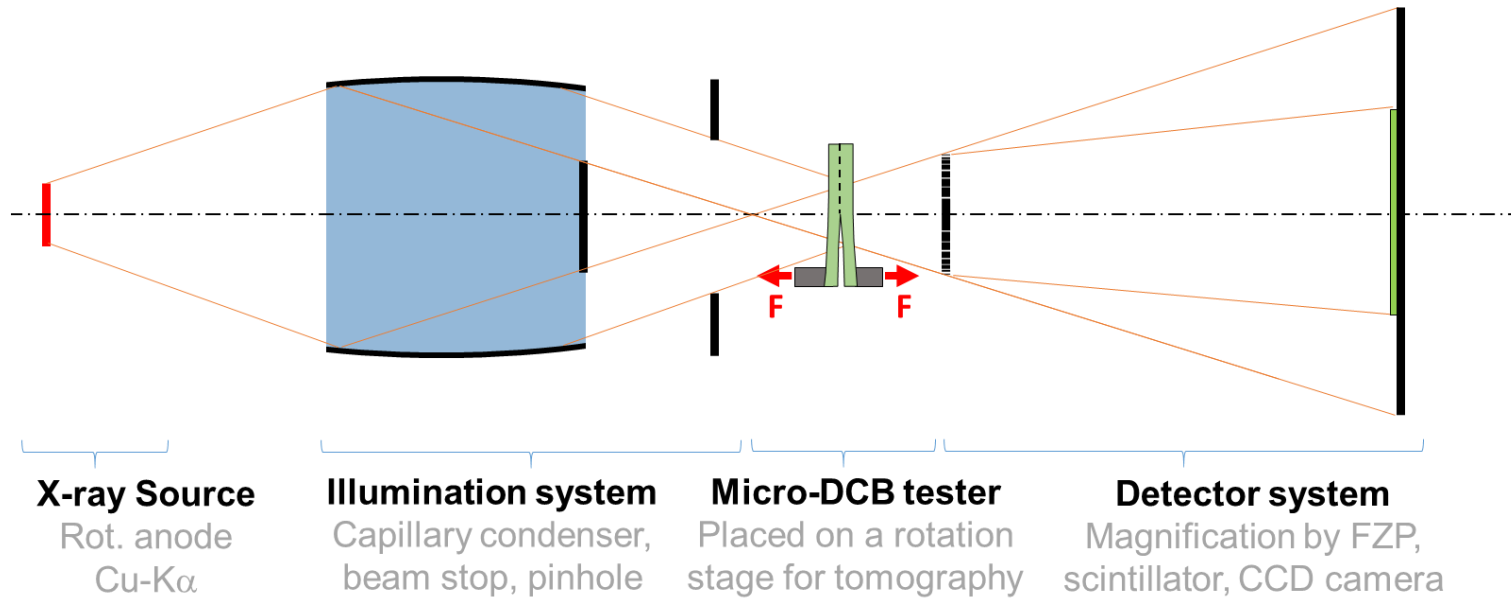
# Micro Double Cantilever Beam test (micro DCB) in the X-ray microscope



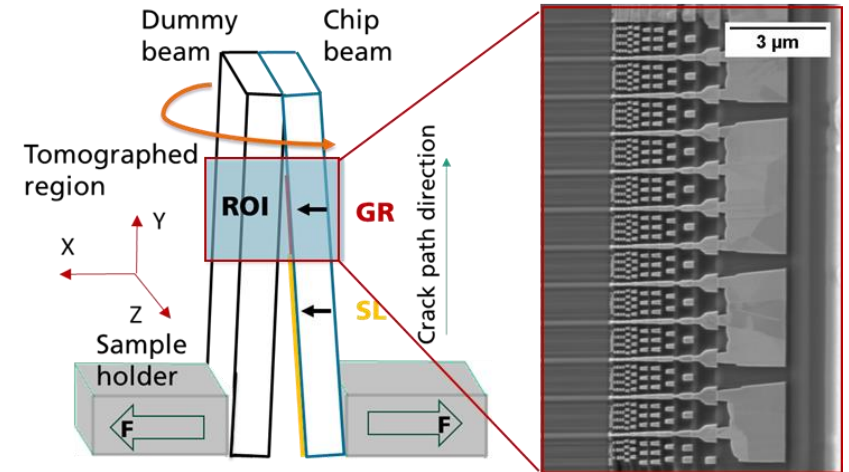
Micro-DCB tester with force sensor



# Micro Double Cantilever Beam test (micro DCB) in the X-ray microscope



Typical "sandwich" specimen (chip and dummy)  
dimension: 50  $\mu\text{m}$   $\times$  50  $\mu\text{m}$   $\times$  1000  $\mu\text{m}$

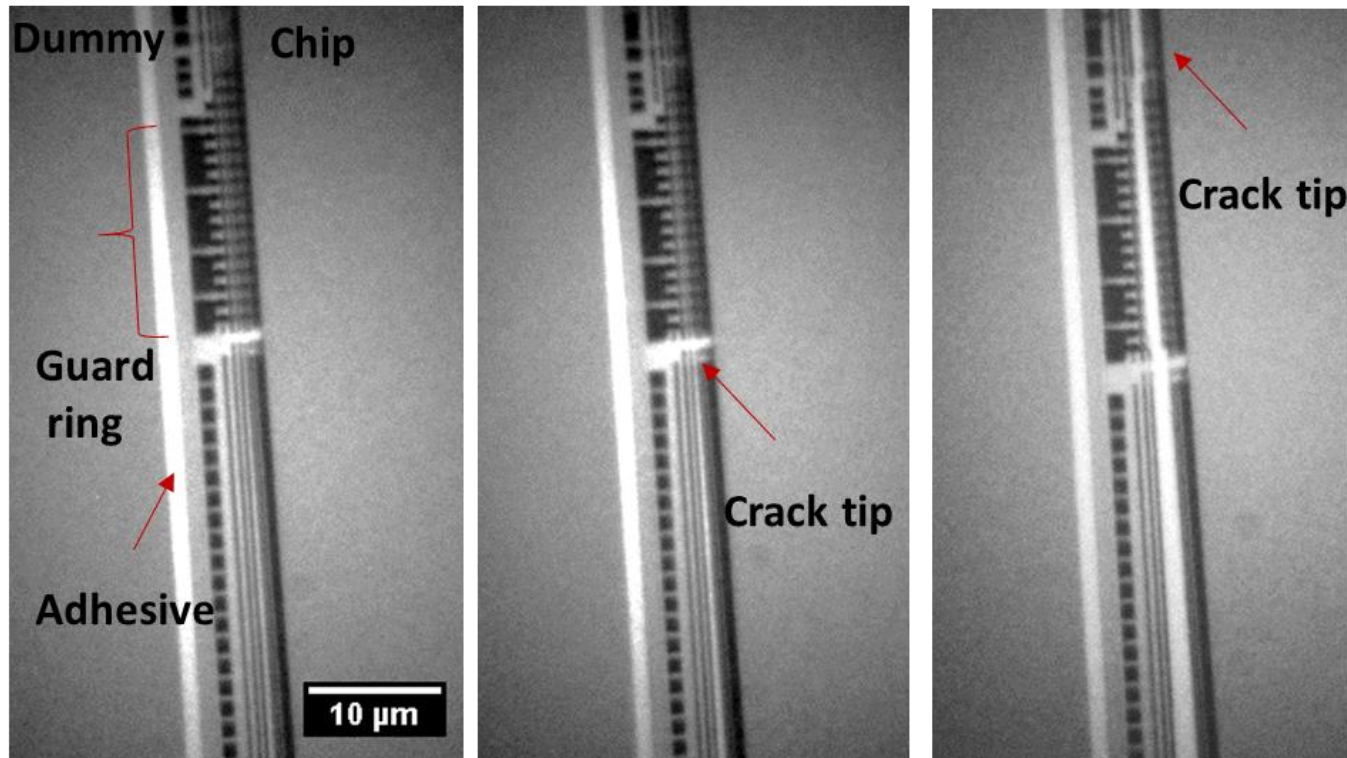


- X-ray source: Rotating anode, monochromatic radiation: Cu-K $\alpha$  (8 keV)
- X-ray optics: Capillary condenser and Fresnel zone plate
- Field of view width: 65  $\mu\text{m}$  or 16  $\mu\text{m}$ ; Resolution 100 nm or 50 nm, respectively
- In-situ crack path study: micro-DCB tester

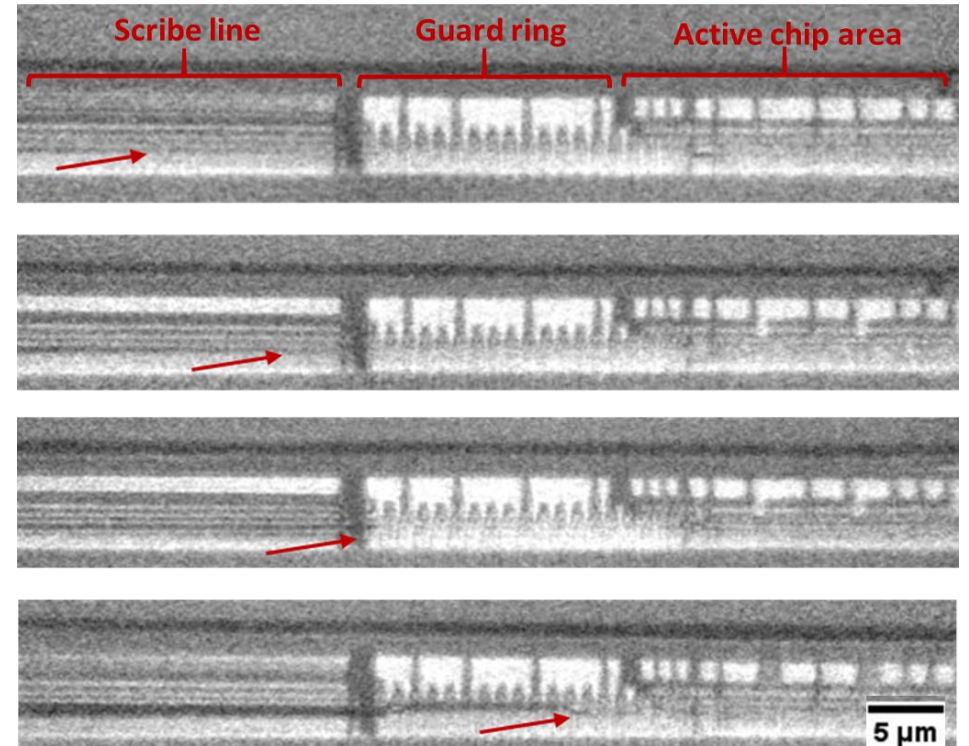
ROI GR: guard ring  
structure M1 – M12

GR - guard ring  
ROI - region of interest  
SL- scribe line  
M12 - metallization layer 12

# In-situ micro-DCB test in the nano-XCT tool



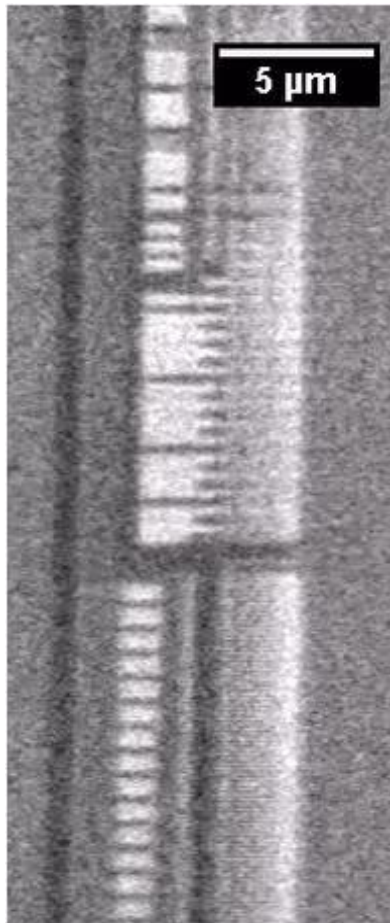
Radiographs series during micro-DCB experiment



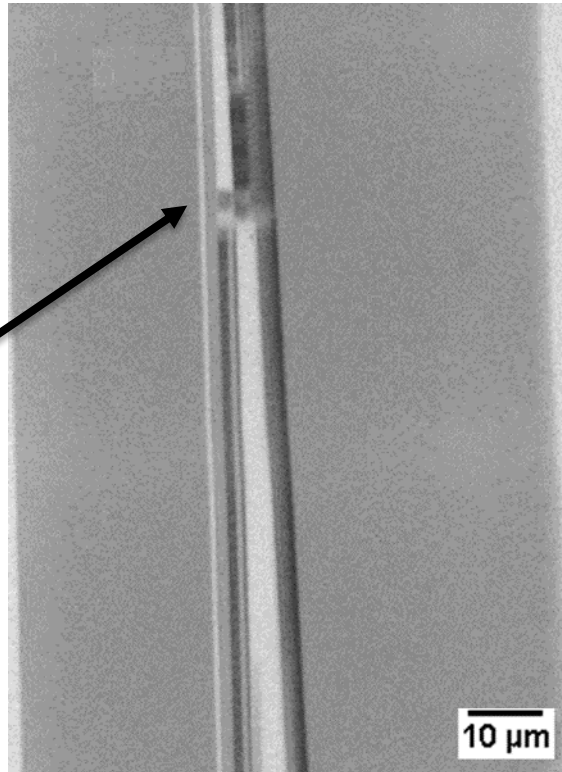
Series of the virtual cross-sections at several loading steps

The crack stops at the guard ring structure, it changes the direction of propagation (energy dissipation), and finally cracking is observed. Crack evolution depends on design, processes and materials, as well as stress (chip-package interaction).

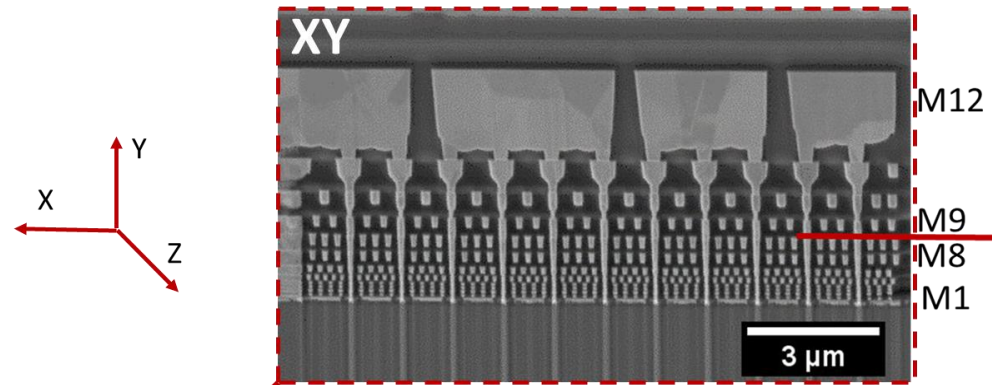
# Nano-XCT crack path study in BEoL stacks of microchips, Confirmation with SEM @ "post mortem" samples



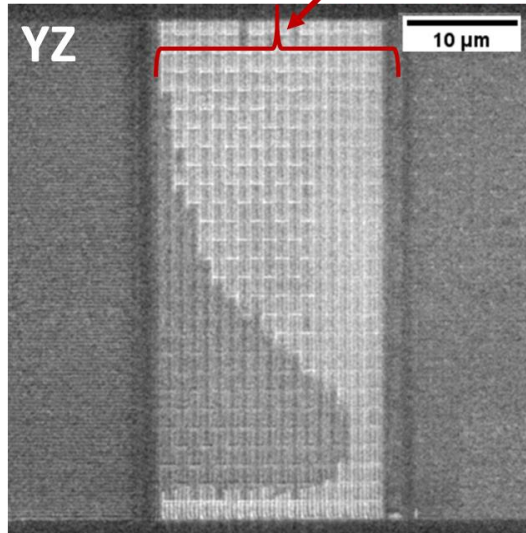
Movie: Reconstruction data through the sample thickness



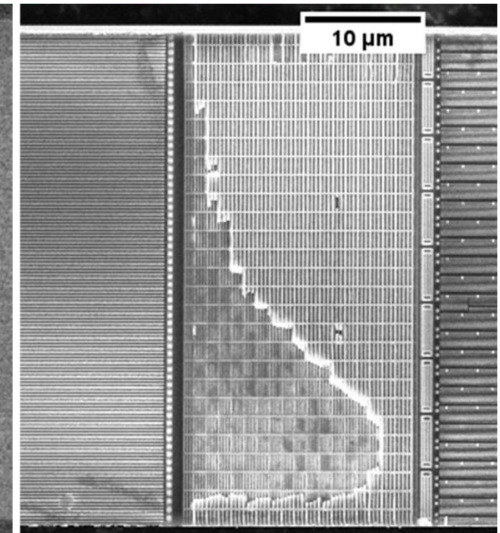
Stitched 2D radiograph



Metallization layers (FIB cross-section)



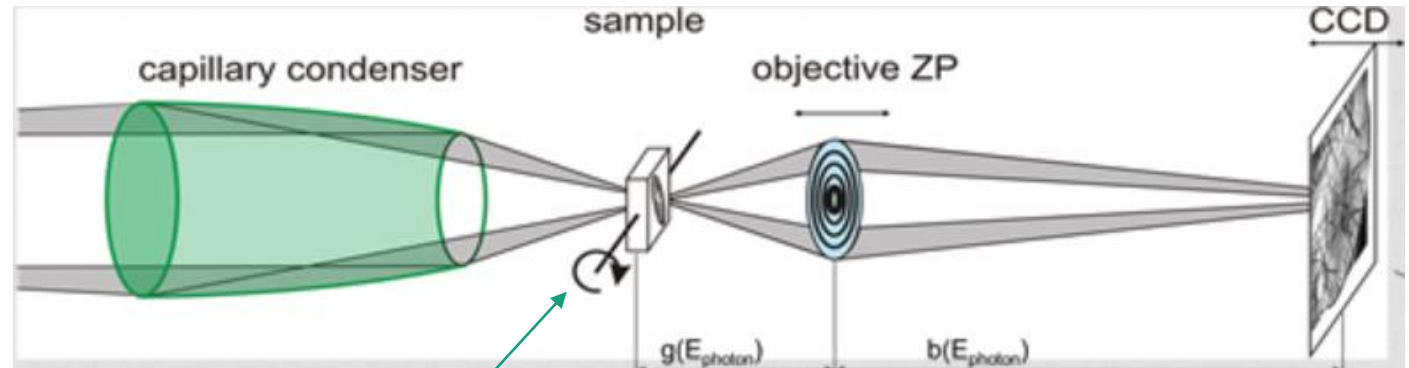
Virtual horizontal cross-section based on 3D data; M8



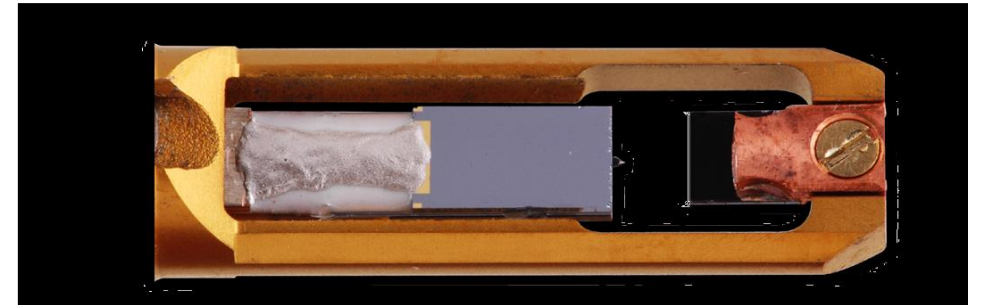
SEM image

# High resolution synchrotron radiation for in-situ micro-mechanical experiments

- Beamline U41 – TXM specifications
- (BESSY II, Berlin)
  - Range of energies: 0.27 - 1.5 keV
  - Spatial resolution for 2D: 25 nm - 11 nm
- Possible to integrate special mechanical setup:
  - Modified PI 95 Hysitron/Bruker TEM PicoIndenter with indenter

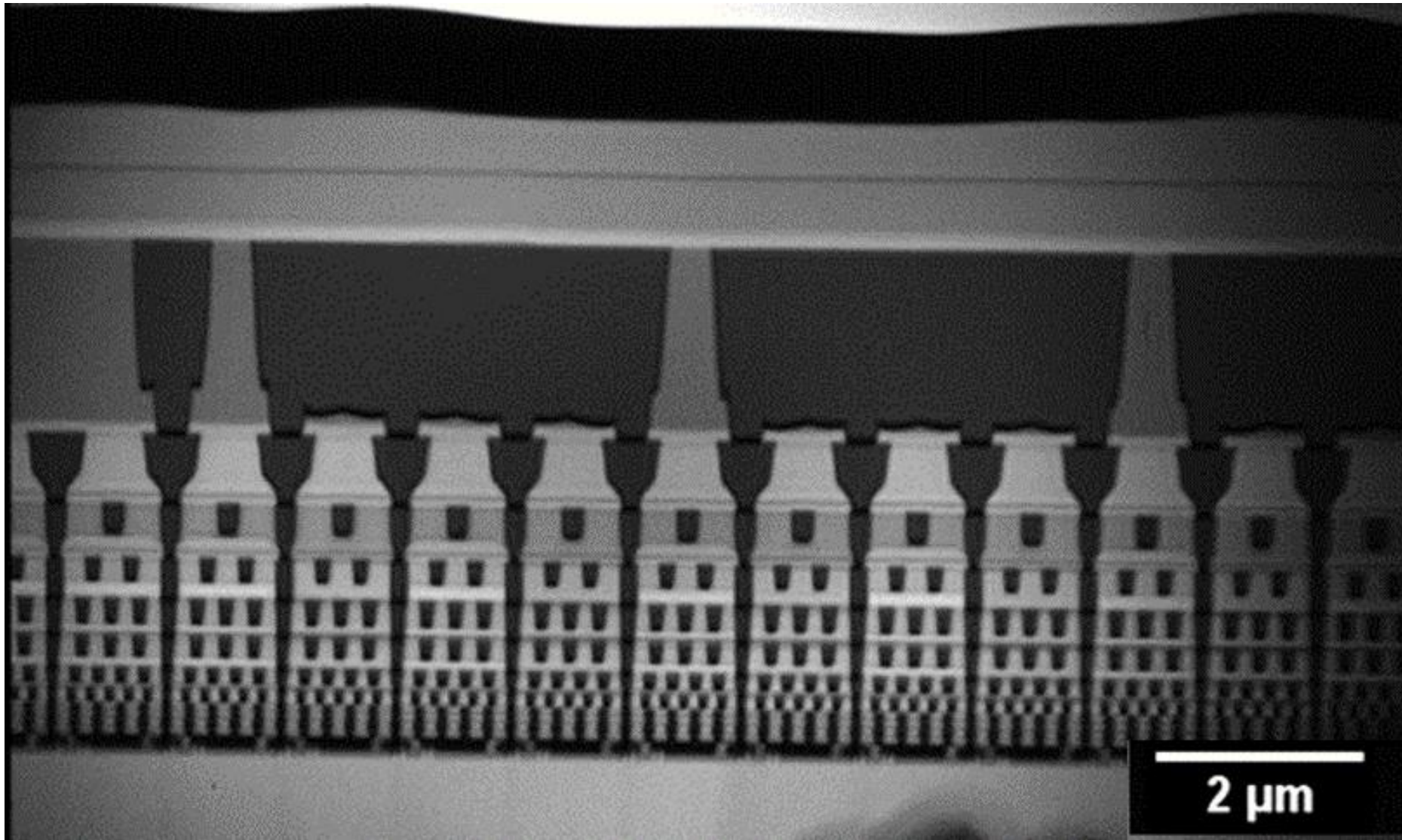


PI 95 TEM PicoIndenter (Bruker/Hysitron)



Sample holder with wedge indenter (tungsten tip)

# High-resolution X-ray imaging of the guard ring structure at BESSY II



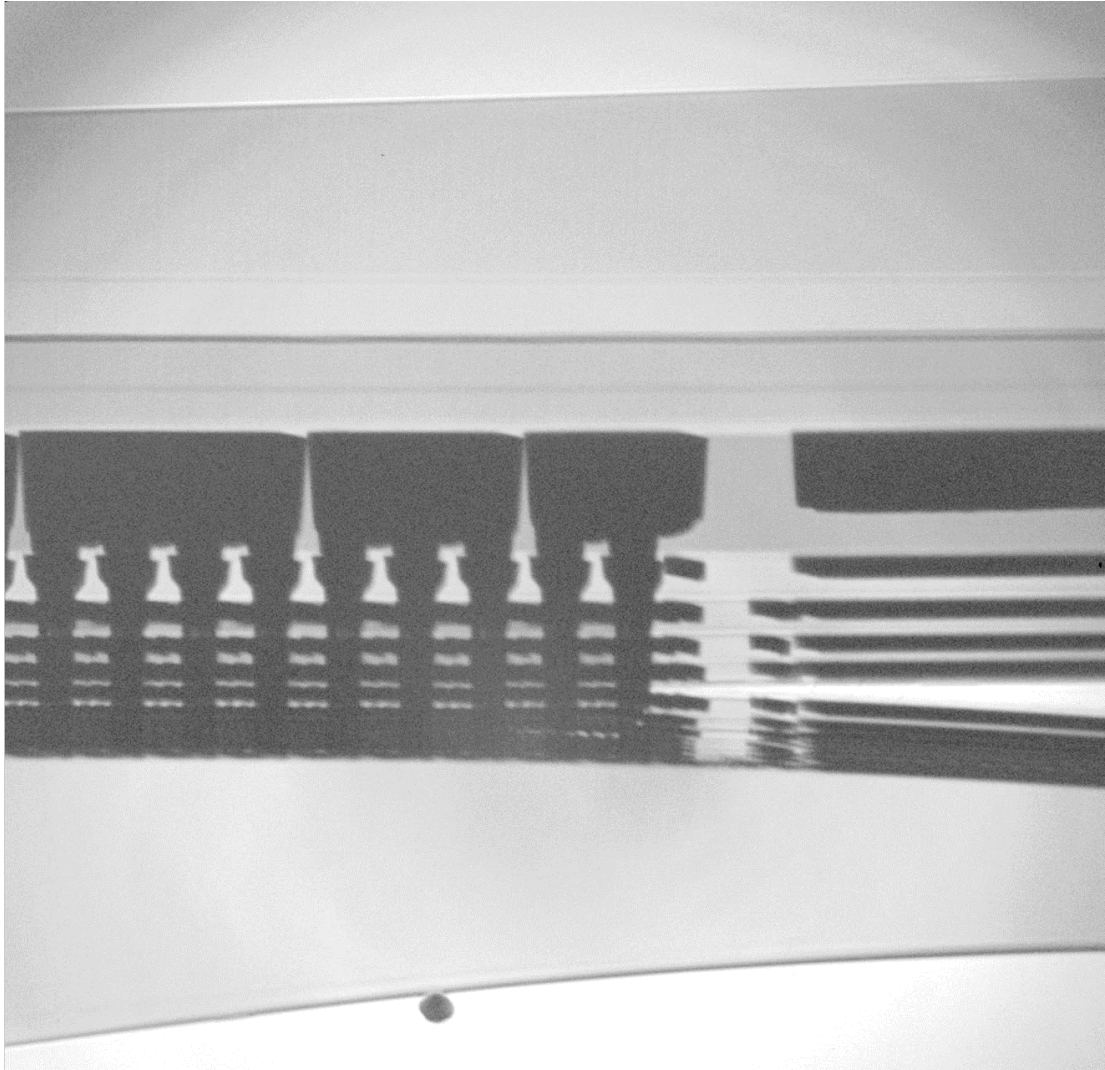
2D radiograph:  
925 eV photon energy,  
at 1<sup>st</sup> order -

1.5 μm thick lamella:  
11 nm resolution

K. Kutukova et al., MRS Advances 2018

G. Schneider & P. Guttman, Helmholtz Zentrum Berlin

# Preliminary BESSY II experimental results: Crack propagation in BEoL stacks (2.5 $\mu\text{m}$ thick sample, 1200 eV, 1<sup>st</sup> zone plate order)



**Movie: Crack evaluation in a mechanical in-situ X-ray microscopy experiment using synchrotron radiation, step size 25 nm**

K. Kutukova et al., MRS Spring 2018

G. Schneider & P. Guttman, Helmholtz Zentrum Berlin

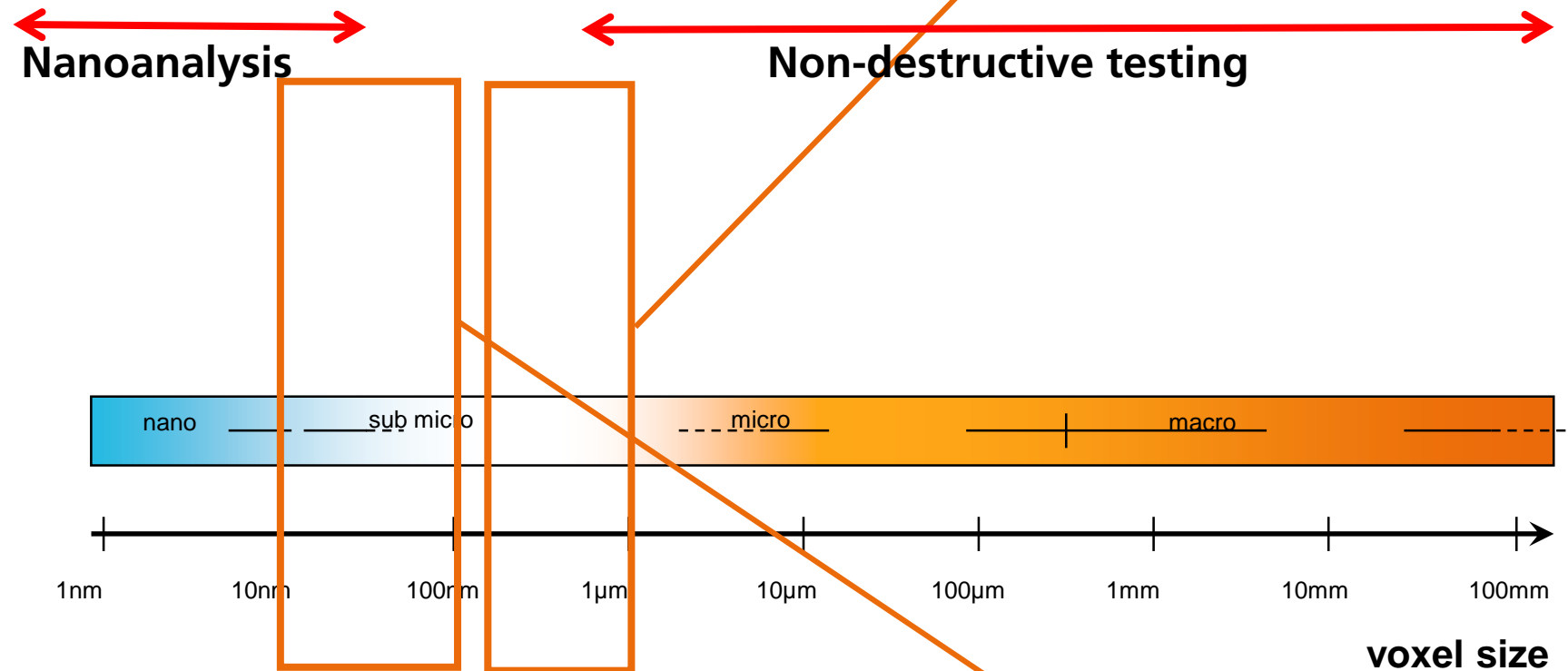
# Outline

1. 3D metrology and diagnostics challenges in microelectronics
  - Role of X-ray techniques
2. X-ray imaging of 3D structures: From micro to nano
  - Example 1 - Advanced packaging: Failures in TSVs and micro-bumps
  - Example 2 – BEoL stack: Crack propagation
3. Outlook:
  - X-ray microscopy: Fast, high resolution, really nondestructive



# X-ray imaging perspectives (next 3 years)

**Sub-micron XCT with novel X-ray sources:  
0.3 ... 1.0  $\mu\text{m}$  resolution**

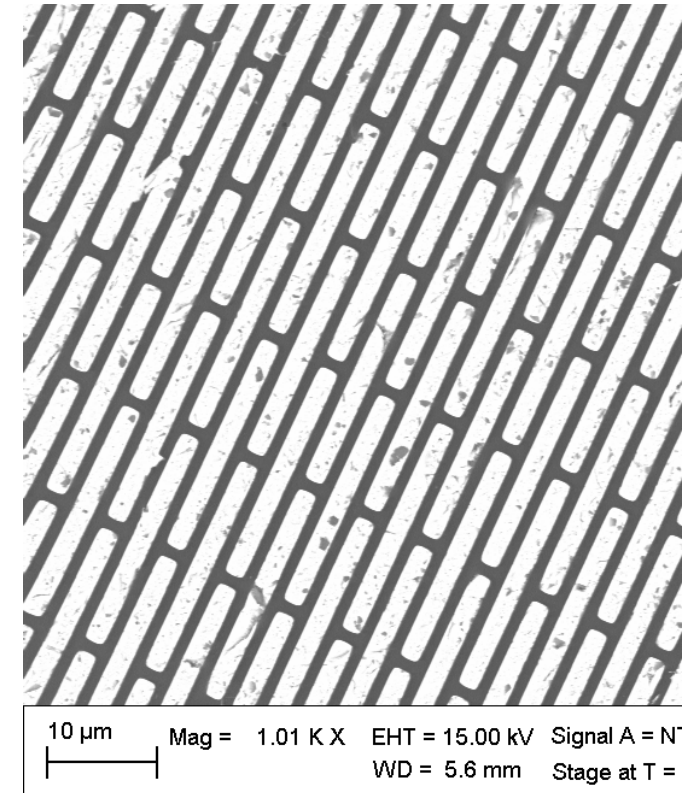
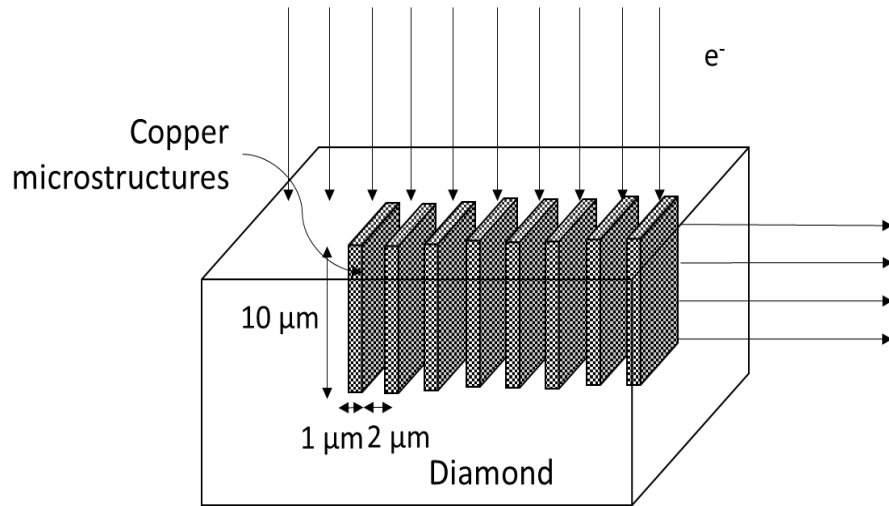


**Nano Transmission X-ray Microscopy (TXM) / nano XCT  
with novel X-ray optics: 10 ... 100 nm resolution**

# New source concept: Microstructured target

## Advantages of FFAST (Fine Anode Array Source Technology)

Diamond / metal microstructures after diamond etch and metal fill



**4x higher thermal loading than a solid copper target →**  
**up to 50x total brightness gain from optimal linear accumulation and better thermal property**

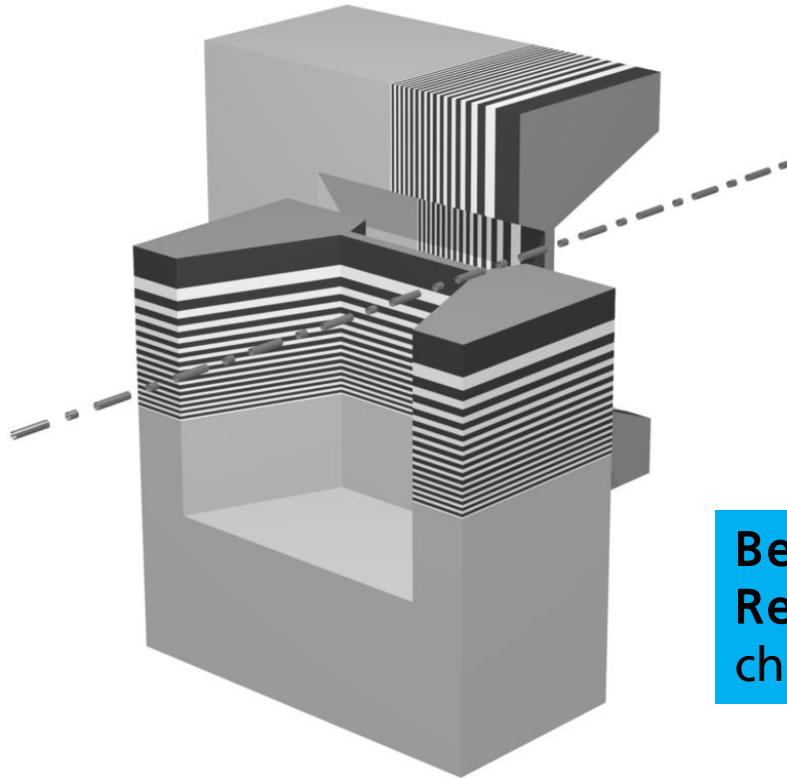
**Benefits:** Better anode thermal property + optimal linear accumulation of X-rays

**Results:** Higher source brightness and choice of characteristic lines

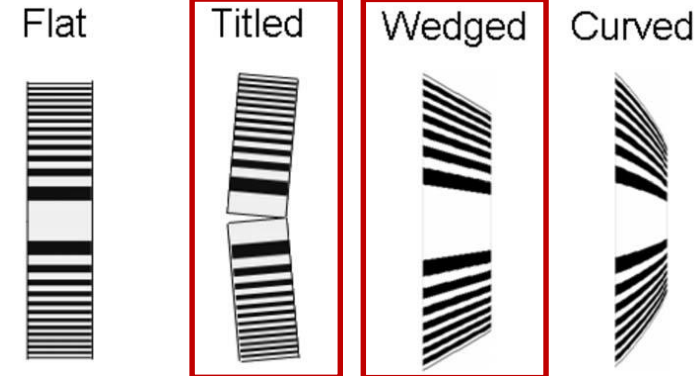
# New lense concept: Multilayer Laue lenses

→ High resolution (... 10 nm), high photon energies (> 10 keV)

Crossed partial MLLs: two-dimensional focusing and imaging



MLL geometries



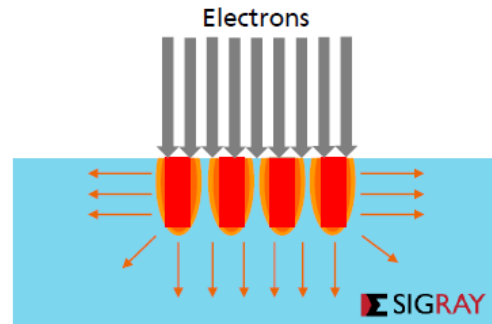
*H. Yan et al. Physical Review B 76.11, p. 115438 (2007)*

Tuning the optics: Tilting, wedging, curving

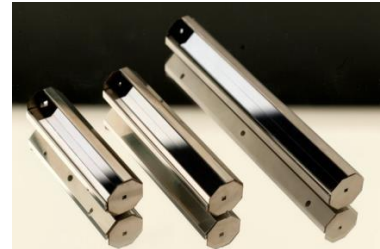
**Benefits:** Thinner films + higher A/R  
**Results:** Higher resolution and efficiency,  
choice of X-ray energies > 10 keV

# Focusing condenser optics and Multi-layer Laue lenses

→ Approach to improve resolution and to extend lab-based X-ray microscopy to higher energies



Metal Microstructures  
Embedded in Diamond



Multilayer Laue lenses:  
enhanced resolution  
and high photon energies

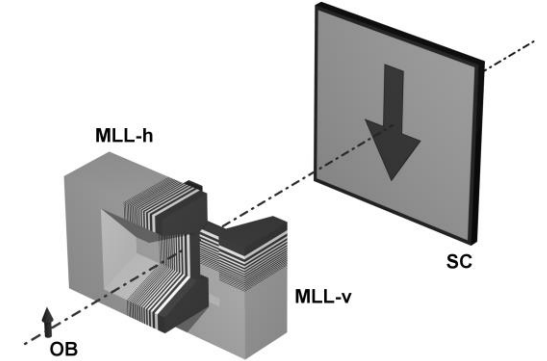
FAAST source:  
High flux

X-ray  
source

CD BS

PH OB FZP

Screen



# Lab-based X-ray microscopy/nano X-ray tomography @ > 10 keV

## X-ray microscopy with novel sources (High-flux FFAST source)

### Increased brightness

→ shorter measurement times (physical failure analysis in semiconductor industry, kinetic studies)

## X-ray microscopy with novel optics (Multilayer Laue lenses)

### Resolution improvement to 10nm (... 1nm)

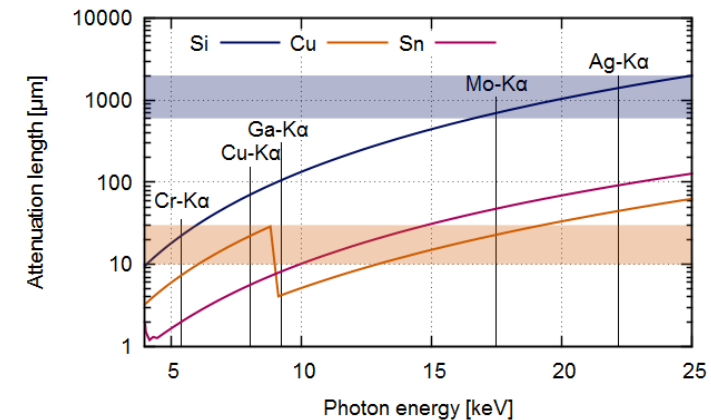
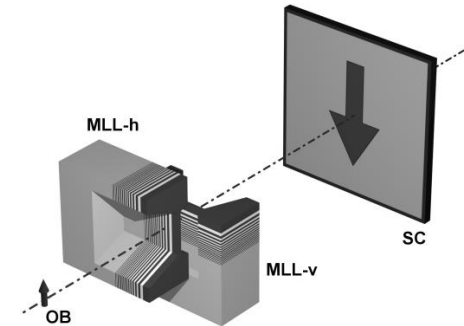
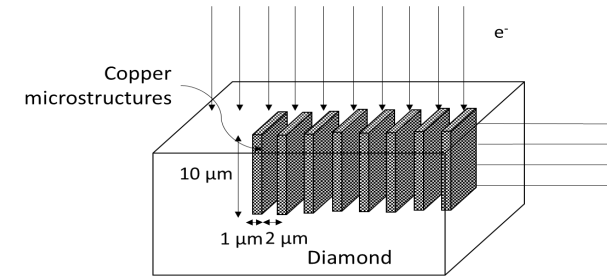
→ down-scaled device structures and defects in materials,  
...

### Larger working distance (~ 5 cm)

→ chambers (temperature, humidity, ...), mechanical tests  
(crack propagation)

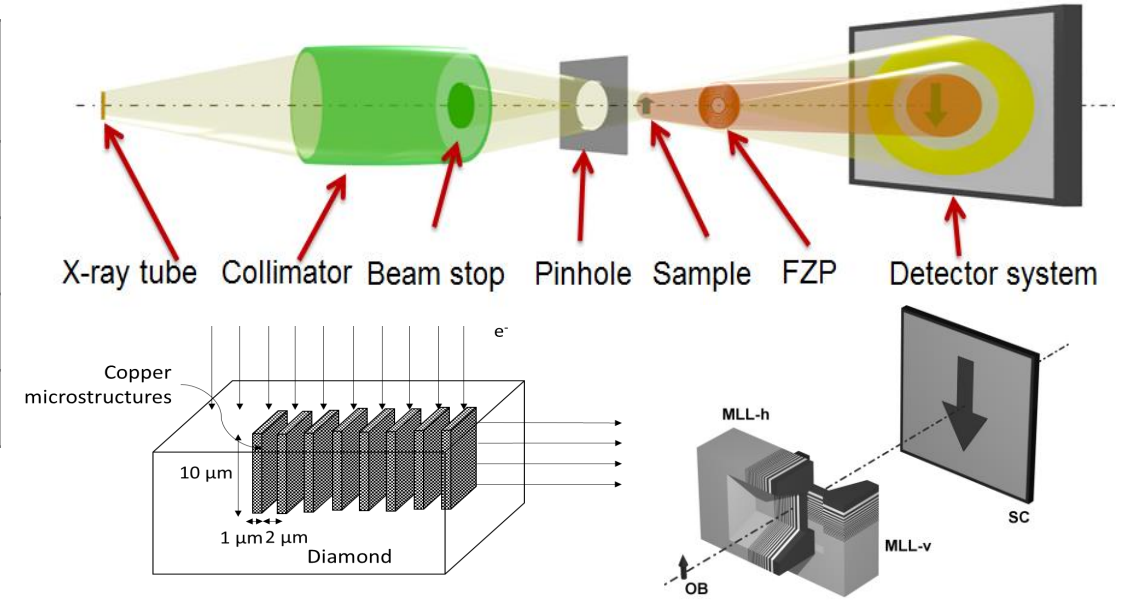
### Higher X-ray energies (e. g. Mo source)

→ penetration of whole wafers, wafer stacks



# Outlook: Laboratory nano XCT for advanced packaging/interconnect imaging: Nondestructive & 3D, higher resolution, higher throughput

Development Parameters	Status	Target
Resolution	50 nm →	10 nm
Energy range	< 10 keV →	> 10 keV
Acquisition time	2 min – 10 h →	40x faster
Sample preparation efforts	high →	low or no

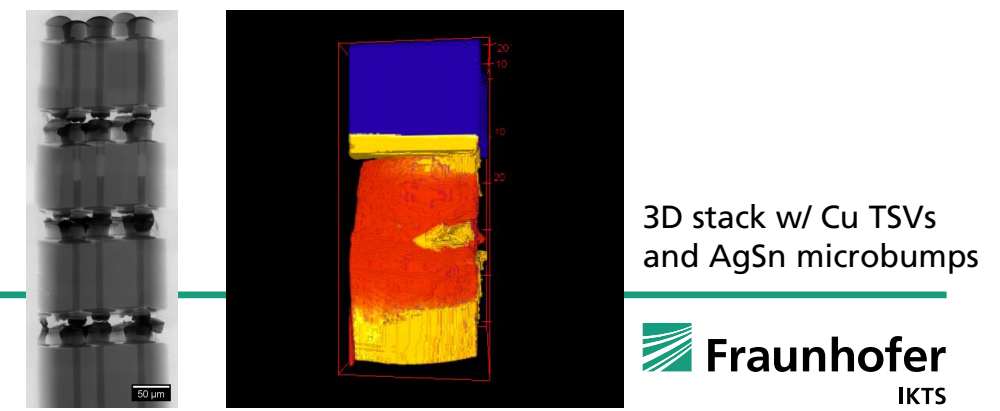


## Innovations:

- Novel nano X-ray tomography system with
- High-flux X-ray source (Sigray Inc., Concord/CA, USA)
- Novel multilayer Laue lens X-ray optics (Fraunhofer IKTS + IWS Dresden)

## Solution/Application:

- Novel metrology & PFA solution for advanced packaging and BEoL
- Really non-destructive
- High throughput: 3D data set in minutes
- Resolution down to 10 nm



E. Zschech et al, FCMN 2017

**Thank you !**

**Jürgen Wolf, Fraunhofer IZM-ASSID Dresden, Germany**

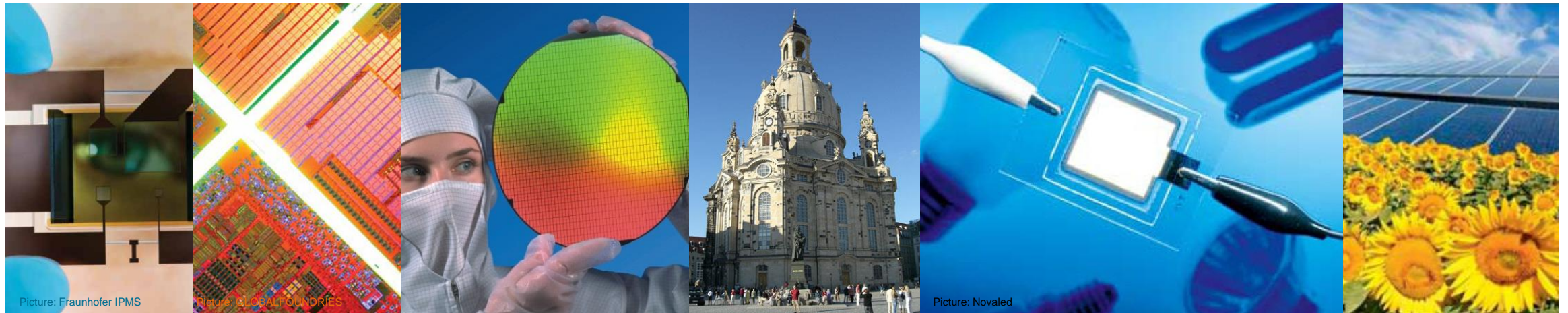
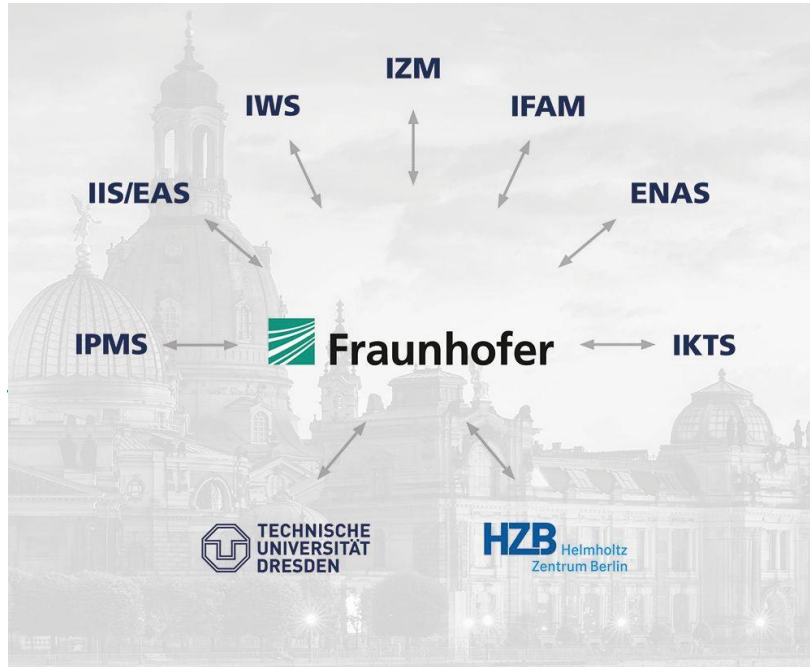
**Markus Loeffler, TU Dresden, Germany**

**Gerd Schneider, Peter Guttmann, Stephan Werner, HZB Berlin, Germany**

**Sven Niese, Reiner Dietsch, AXO Dresden, Germany**

**Wenbing Yun, Sigray Concord/CA, USA**

**Han Li, Markus Kuhn, Zhiyong Ma, Intel Hillsboro/OR, USA**



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